

PREA Facility Audit Report: Final

Name of Facility: Sonoma County North County Detention Facility

Facility Type: Prison / Jail

Date Interim Report Submitted: 05/14/2022

Date Final Report Submitted: 12/12/2022

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
Auditor Full Name as Signed: Eric Woodford	Date of Signature: 12/12/2022

AUDITOR INFORMATION	
Auditor name:	Woodford, Eric
Email:	eiw@comcast.net
Start Date of On-Site Audit:	03/30/2022
End Date of On-Site Audit:	04/01/2022

FACILITY INFORMATION	
Facility name:	Sonoma County North County Detention Facility
Facility physical address:	2254 Ordinance Road, Santa Rosa, California - 95403
Facility mailing address:	

Primary Contact	
Name:	Jason Squires
Email Address:	jason.squires@sonoma-county.org
Telephone Number:	707-565-1602

Warden/Jail Administrator/Sheriff/Director	
Name:	Mark Essick
Email Address:	mark.essick@sonoma-county.org
Telephone Number:	(707) 565-1400

Facility PREA Compliance Manager	
Name:	
Email Address:	
Telephone Number:	

Facility Health Service Administrator On-site	
Name:	Sara Vafaeenia
Email Address:	sara.vafaeenia@sonoma-cunty-org
Telephone Number:	(707) 565-1495

Facility Characteristics	
Designed facility capacity:	557
Current population of facility:	0
Average daily population for the past 12 months:	23
Has the facility been over capacity at any point in the past 12 months?	No
Which population(s) does the facility hold?	Males
Age range of population:	18+ Adults
Facility security levels/inmate custody levels:	Minimum, Medium
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	0
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	20
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	335

AGENCY INFORMATION	
Name of agency:	Sonoma County Sheriff's Office
Governing authority or parent agency (if applicable):	
Physical Address:	2777 Ventura Ave, Santa Rosa, California - 95403
Mailing Address:	
Telephone number:	

Agency Chief Executive Officer Information:	
Name:	
Email Address:	
Telephone Number:	

Agency-Wide PREA Coordinator Information			
Name:	Jason Squires	Email Address:	Jason.Squires@sonoma-county.org

SUMMARY OF AUDIT FINDINGS	
<p>The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.</p> <p>Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.</p>	
Number of standards exceeded:	
0	
Number of standards met:	
45	
Number of standards not met:	
0	

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2022-03-30
2. End date of the onsite portion of the audit:	2022-04-01

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	VERITY Director of Operations

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	557
15. Average daily population for the past 12 months:	0
16. Number of inmate/resident/detainee housing units:	16
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:	0
38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	0
39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	0
40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	0
41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	0
42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	0

43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	0
44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:	0
45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:	0
46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:	0
47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:	0

<p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates.</p>
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Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit

<p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p>	<p>1</p>
<p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>0</p>
<p>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>1</p>

<p>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p>	<p>2 staff currently assigned to work at NCDF. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program.</p>
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INTERVIEWS

Inmate/Resident/Detainee Interviews

Random Inmate/Resident/Detainee Interviews

<p>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</p>	<p>0</p>
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<p>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Age <input type="checkbox"/> Race <input type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input type="checkbox"/> Length of time in the facility <input type="checkbox"/> Housing assignment <input type="checkbox"/> Gender <input type="checkbox"/> Other <input checked="" type="checkbox"/> None
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If "None," explain:	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates.</p>
55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates.</p>

56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?	<input type="radio"/> Yes <input checked="" type="radio"/> No
a. Explain why it was not possible to conduct the minimum number of random inmate/resident/detainee interviews:	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates.</p>

<p>57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates.</p>
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Targeted Inmate/Resident/Detainee Interviews

<p>58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</p>	<p>0</p>
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As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".

<p>60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
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<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>

61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>
<p>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>

63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>
<p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>

65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>
<p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>

67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>
<p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>

69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>
<p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p>	<p>No additional information to add.</p>
<p>Staff, Volunteer, and Contractor Interviews</p>	
<p>Random Staff Interviews</p>	
<p>71. Enter the total number of RANDOM STAFF who were interviewed:</p>	<p>2</p>

<p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p>	<p><input type="checkbox"/> Length of tenure in the facility</p> <p><input type="checkbox"/> Shift assignment</p> <p><input checked="" type="checkbox"/> Work assignment</p> <p><input type="checkbox"/> Rank (or equivalent)</p> <p><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p>
<p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p>
<p>a. Select the reason(s) why you were unable to conduct the minimum number of RANDOM STAFF interviews: (select all that apply)</p>	<p><input type="checkbox"/> Too many staff declined to participate in interviews.</p> <p><input type="checkbox"/> Not enough staff employed by the facility to meet the minimum number of random staff interviews (Note: select this option if there were not enough staff employed by the facility or not enough staff employed by the facility to interview for both random and specialized staff roles).</p> <p><input type="checkbox"/> Not enough staff available in the facility during the onsite portion of the audit to meet the minimum number of random staff interviews.</p> <p><input checked="" type="checkbox"/> Other</p>

<p>If "Other," explain:</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.</p>
<p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>No additional information to add</p>
<p>Specialized Staff, Volunteers, and Contractor Interviews</p>	
<p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p>	

<p>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</p>	<p>20</p>
<p>76. Were you able to interview the Agency Head?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>78. Were you able to interview the PREA Coordinator?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>79. Were you able to interview the PREA Compliance Manager?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)</p>

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff

	<input type="checkbox"/> Intake staff <input type="checkbox"/> Other
If "Other," provide additional specialized staff roles interviewed:	Inmate Mail Staff
81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No
82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No
83. Provide any additional comments regarding selecting or interviewing specialized staff.	No contractors or volunteers assigned to NCDF

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

84. Did you have access to all areas of the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
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Was the site review an active, inquiring process that included the following:

<p>85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p>
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<p>a. Explain which facility practices you were unable to observe per the site review component of the audit instrument and why:</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.</p>
<p>86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?</p>	<p> <input type="radio"/> Yes <input checked="" type="radio"/> No </p>

a. Explain which critical functions you were unable to test per the site review component of the audit instrument and why:	NCDF does not conduct intake screening in the facility. Intake screening is conducted at the main jail. Auditor did make contact with Verity Rape Crisis through the facility hotline in a number of housing units during the 90-day corrective action review and determined the inmate phones operable and PREA compliant in providing inmate access to Verity for sexual abuse reporting and emotional support. No inmates housed or custody staff currently assigned to NCDF, therefore testing of interpretation critical functions could not be accomplished.
87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?	<input type="radio"/> Yes <input checked="" type="radio"/> No
88. Informal conversations with staff during the site review (encouraged, not required)?	<input type="radio"/> Yes <input checked="" type="radio"/> No

89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?	<input type="radio"/> Yes <input checked="" type="radio"/> No
91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.</p>

SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	X	X	X	X
Staff-on-inmate sexual abuse	X	X	X	X
Total	X	X	X	X

You indicated that you are unable to provide information for one or more of the fields above. Explain why this information could not be provided.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	X	X	X	X
Staff-on-inmate sexual harassment	X	X	X	X
Total	X	X	X	X

You indicated that you are unable to provide information for one or more of the fields above. Explain why this information could not be provided.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	X	X	X	X	X
Staff-on-inmate sexual abuse	X	X	X	X	X
Total	X	X	X	X	X

You indicated that you are unable to provide information for one or more of the fields above. Explain why this information could not be provided.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility.

Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	X	X	X	X
Staff-on-inmate sexual abuse	X	X	X	X
Total	X	X	X	X

You indicated that you are unable to provide information for one or more of the fields above. Explain why this information could not be provided.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	X	X	X	X	X
Staff-on-inmate sexual harassment	X	X	X	X	X
Total	X	X	X	X	X

You indicated that you are unable to provide information for one or more of the fields above. Explain why this information could not be provided.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	X	X	X	X
Staff-on-inmate sexual harassment	X	X	X	X
Total	X	X	X	X

You indicated that you are unable to provide information for one or more of the fields above. Explain why this information could not be provided.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

**98. Enter the total number of SEXUAL
ABUSE investigation files reviewed/
sampled:**

0

<p>a. Explain why you were unable to review any sexual abuse investigation files:</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.</p>
<p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files) </p>

Inmate-on-inmate sexual abuse investigation files

100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:	0
101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)

Staff-on-inmate sexual abuse investigation files

103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:	0
104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)

105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)
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Sexual Harassment Investigation Files Selected for Review

106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:	0
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<p>a. Explain why you were unable to review any sexual harassment investigation files:</p>	<p>On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.</p>
<p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files) </p>

Inmate-on-inmate sexual harassment investigation files

108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	0
109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>

Staff-on-inmate sexual harassment investigation files

111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	0
112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p>

113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?

Yes

No

NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.

On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The North County Detention facility does not currently have custody staff assigned or house inmates due to the Agency's decreased inmate population, however, based upon corrective action the North County Detention Facility is in PREA readiness in the event North County Detention Facility is required to house inmates. Auditor verified Agency's above statement during the onsite physical plant review. Auditor conducted a Post-Audit 90-day physical plant review to verify corrective action mandates had been put into place in order to verify NCDF is in PREA readiness in the event the Agency needs the facility to house inmates. During the 90-day physical plant review, auditor verified that no inmates were housed in the facility and no custody staff were assigned to the facility. Auditor conducted a 90-day Corrective Action review of posters, blind spots, PREA inmate and 3rd Party Posters, PREA hotline contact with Verity advocates and found the facility was in compliance and in PREA readiness when NCDF requires inmate housing.

SUPPORT STAFF INFORMATION

DOJ-certified PREA Auditors Support Staff

115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

- Yes
- No

Non-certified Support Staff

116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

- Yes
- No

AUDITING ARRANGEMENTS AND COMPENSATION

121. Who paid you to conduct this audit?

- The audited facility or its parent agency
- My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)
- A third-party auditing entity (e.g., accreditation body, consulting firm)
- Other

Standards

Auditor Overall Determination Definitions

- Exceeds Standard
(Substantially exceeds requirement of standard)
- Meets Standard
(substantial compliance; complies in all material ways with the stand for the relevant review period)
- Does Not Meet Standard
(requires corrective actions)

Auditor Discussion Instructions

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

<p>115.11</p>	<p>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</p>
<p>Auditor Overall Determination: Meets Standard</p>	
<p>Auditor Discussion</p>	
<p>115.11(a): Section 1.0 states that The Sonoma County Sheriff’s Office, in compliance with the Prison Rape Elimination Act of 2003, mandates zero tolerance toward all forms of sexual abuse, sexual harassment and sexual assault of any inmate in custody. There shall be zero tolerance for retaliation of any sort against anyone who reports or cooperates with the investigations of such acts. All incidents of sexual abuse that occur in the Sonoma County Detention Facilities shall be thoroughly investigated, documented and reported in accordance with the mandates set forth in the 2003 Prison Rape Elimination Act (PREA) and the Department of Justice National PREA Standards. The Sonoma County Sheriff’s Office is committed to maintaining a program of education, prevention, detection, investigation, criminal and administrative sanctions against perpetrators, data collection, treatment and support for any inmate who is a victim of sexual abuse. Those contracted, employed by, or volunteering for the Sheriff’s Office are subject to punitive sanctions for any violation of this policy. Section 2.0 outlines definitions of prohibited behaviors.</p> <p>115.11(b): The Detention Division Organizational Chart identifies an Agency PREA Coordinator at the Lieutenants level. The PREA Coordinator reports to the Special Services Captain of the Detentions Bureau. Review of the Sonoma County Sheriff's Office Organizational chart indicates the PREA Coordinator position is in the fourth level from the Sheriff.</p> <p>Interview with PREA Coordinator indicates he has enough time to manage all of his PREA related responsibilities. He works with 2 compliance managers, one assigned to each facility. He runs a daily report regarding any PREA related incidents. If he identifies a compliance issue with a PREA Standard he ensures protocol and procedures are followed. He follows up with the Watch Commander to ensure any issues are discussed and resolved.</p> <p>115.11(c): The Detention Division Organizational Chart identifies a PREA Compliance Manager at the Lieutenants level assigned to each Sonoma County Sheriff's facility (Main Jail & North County Jail). They report to the Operations Captain of the Detentions Bureau.</p> <p>Interview with the PREA Compliance Manager indicates he has enough time to manage all of his PREA related responsibilities. His efforts are coordinated with management and compliance group to include the PREA Coordinator with regards to any PREA issues.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully</p>	

	compliant with Standard 115.11.
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115.12	Contracting with other entities for the confinement of inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.12(a): N/A - Agency reports that they have not entered into or renewed a contract for the confinement of inmates on or after August 20, 2012, or since the last PREA audit, whichever is later.</p> <p>115.12(b): N/A - Agency reports that they have not entered into or renewed a contract for the confinement of inmates on or after August 20, 2012, or since the last PREA audit, whichever is later."</p> <p>CONCLUSION: Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.12.</p>

115.13	Supervision and monitoring
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.13(a): Auditor awaits copy of 2019, 2020 and 2021 Staffing Plan or Staffing Plan Review documentation to verify compliance with the PREA Standard provision. Agency reports the average daily number of inmates since August 20, 2012 or last PREA audit has been 101. This is the same number of average daily number of inmates of which the Staffing Plan was predicated.</p> <p>Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates. NOTE: No inmates are housed or staff assigned to NCDF at this time as facility has been closed to inmates and staffing since 11/19/2020. Interview with PREA Compliance Manager indicates that all 11 items outlined in the requirements for assessing adequate staffing levels and the need for video monitoring are considered and documented. Physical staff, safety of inmates, placement of supervisory staff and institutional programs are considered. Interview with Facility Commander designee indicates that the facility has a documented staffing plan where video monitoring is part of this plan. Staffing plan has been reviewed annually and met with Union regarding staffing schedules and manpower needs.</p> <p>On 4/21/22 Agency provided auditor with photo verification of dome mirror installed in the dry food storage area in the kitchen which eliminates this blind spot. On 6/8/22 - Agency provided auditor with copy of the 2022 Annual Staffing Plan as requested by auditor for review. The written plan meets Standard provision 115.13(a).</p> <p>115.13(b): N/A: Agency reports that there have been no deviations to the Staffing Plan.</p> <p>Interview with Facility Commander designee indicates that the facility documents all instances of non-compliance with the staffing plan should there be any deviations from the staffing plan. To date, there have been no deviations from the staffing plan. During the Corrective Action Period, Agency provided auditor with copy of the 2022 Staffing Plan. The 2022 Staffing Plan indicates in the 3rd paragraph "In reviewing documented staffing deficiencies, it was apparent that multiple unanticipated vacancies on a given date due to illnesses, without available Deputies to cover, was the primary reason for last minute deviations to the staffing plan. In each instance,</p>

available on duty staff assignments were shifted and operational procedures were adjusted, including locking down modules, to accommodate the staffing deficiency. These deficiencies are documented in the NCDF Supervisor's Log and Shift Log. Agency to provide auditor with copies of the NCDF Supervisors Log and Shift Log to verify deviations from the Staffing Plan was documented.

115.13(c): Agency reports there is no annual review by the facility/agency, in collaboration with the PREA Coordinator, to review the staffing plan to see whether adjustments are needed to: (a) the staffing plan, (b) the deployment of monitoring technology, or (c) the allocation of facility/agency resources to commit to the staffing plan to ensure compliance with the staffing plan.

Interview with the PREA Coordinator indicates that he is consulted annually with regards to any assessments of, or adjustments to, the staffing plan for each Sonoma County Jail facility. He works directly with Captain McMasters on staffing plan assessments or adjustments. Agency to explain why the Staffing Plan has not been reviewed annually by the facility/agency in collaboration with the PREA Coordinator as mandated by Standard 115.13(c). In the event that Agency's statement is in error, Agency is requested to provide the auditor with documented verification of the annual review of the Staffing plan.

115.13(d): Per the Facility Rounds Policy 4.0 General Information mandates that "In accordance with the Department of Justice National PREA Standards, Correctional Supervisors shall make unannounced rounds on each shift to identify and deter staff sexual abuse and sexual harassment. Staff shall not alert other staff members of supervisory rounds occurring unless such announcement is related to legitimate penological interests. These unannounced rounds shall be documented by the Supervisor in the Supervisor's Activity Log. Throughout their rounds, Shift Sergeants will review Throughout their shifts, the Sergeants will periodically check any posted rounds forms to ensure that they are being completed appropriately." Agency provided auditor with copy of the electronic Supervisory Logs Rounds. Review of Log sample from 2/19/19 thru 10/21/19 indicated that Shift Supervisors conducted rounds on day, evening and mid-day shifts and documented these rounds in the Log. Interview with a Shift Sergeant indicates that he conducts daily unannounced rounds which he documents in the Supervisor's Activity Log, the UNIX System, which is an electronic database. Rounds are conducted based on workload using a random pattern when visiting housing units and floors.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.13(a), 115.13(b) & 115.13(c) and corrective action is required.

Corrective Action Recommended:

115.13(a): Agency is mandated to require each facility it operates to develop, document, and make its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against abuse. The facility is mandated to provide a copy of the

annual Staffing Plan or staffing plan review per Standard provision 115.13(a) to the auditor for compliance review and identify blind spots. During the physical plant review, auditor observed the kitchen dry food storage area is a blind spot and needs mirrors for inmate/staff safety.

115.13(b): The 2022 Staffing Plan indicates in the 3rd paragraph "In reviewing documented staffing deficiencies, it was apparent that multiple unanticipated vacancies on a given date due to illnesses, without available Deputies to cover, was the primary reason for last minute deviations to the staffing plan. In each instance, available on duty staff assignments were shifted and operational procedures were adjusted, including locking down modules, to accommodate the staffing deficiency. These deficiencies are documented in the NCDF Supervisor's Log and Shift Log."

1). Agency to provide auditor with copies of the NCDF Supervisor's Log and Shift Log to verify deviations from the Staffing Plan was documented.

115.13(c): Agency to explain why the Staffing Plan has not been reviewed annually by the facility/agency in collaboration with the PREA Coordinator as mandated by Standard 115.13(c).

1). Agency is requested to provide the auditor with documented verification of the annual Staffing Plan Reviews since last per Standard provision 115.13(c).

Corrective Action Completion 8/24/22 :

115.13(a): On 4/21/22 Agency provided auditor with photo verification of dome mirror installed in the dry food storage area in the kitchen which eliminates this blind spot. On 6/8/22 - Agency provided auditor with copy of the 2022 Annual Staffing Plan as requested by auditor for review. The written plan meets Standard provision 115.13(a).

115.13(b): Agency provided auditor with the NCDF Supervisor's Activity Log from 1/1/2020 to 11/19/2020. The log outlines all daily supervisory activities conducted per hour for each shift. The activities identified include perimeter and facility checks, facility count, supervisors on duty, staff shift vacancies and coverage arranged for each shift which verifies agency documents deviations from the Staffing Plan and coverage for said vacancies.

115.13(c): Agency provided auditor with copies of both 2019 and 2020 Staffing Plans. Both document are full Staffing Plans for the North County Jail in lieu of Staffing Plan Reviews. The 2019 Staffing Plan outlines the Facility Characteristics, Inmate Population to include ethnic and age breakdown, Inmate Programs, Training & Statistics to include list of 2018 and 2019 reports of sexual abuse and sexual harassment at the NCDF facility. Report claims there were no sexual abuse or sexual

harassment allegations against staff in 2019, Staffing Formula, Supervisory Staffing, History & current status. The 2020 Staffing Plan provides the same heading information but provides a statement that "Due to COVID-19 pandemic, no inmates were housed at NCDF from 7/30/2020 to 9/10/2020 and from 11/19/2020 to 12/31/2020. Review of both Staffing Plans verifies no Personal Identifying Information (PII) identified within either Staffing Plan narrative.

The agency/facility has met the requirements of Standard provision(s) 115.13(a), 115.13(b) & 115.13(c) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.13.

115.14	Youthful inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.14(a): N/A - Agency reports there are no youthful inmates housed at NCDF. Per Primary Classification Policy Section 5.2, the facility prohibits placing youthful inmates in a housing unit in which a youthful inmate will have sight, sound, or physical contact with any adult inmate through use of a shared dayroom or other common space, shower area, or sleeping quarters. B. Juvenile inmates shall only be accepted after a juvenile court judge finds at the conclusion of a fitness hearing and upon issuance of a court order, that a minor's further detention in the juvenile hall would endanger the safety of the public and/or would be detrimental to other minors at the juvenile hall. C. Juvenile inmates shall not be housed where there is clear sustained visual or hearing contact between adult and juvenile inmates. D. Juvenile inmates shall not have physical contact with adult inmates through use of a shared dayroom, other common areas, shower areas or sleeping areas. E. Movement of juvenile inmates shall be scheduled in advance as much as is possible to prevent adult and juvenile inmates from having contact with each other. F. In situations where brief or accidental contact may occur, such as booking or facility movement, correctional staff shall maintain a constant, side by side presence with the juvenile inmate or an adult inmate to prevent sustained contact. G. Juvenile inmates shall only be placed in direct supervision units with continuous around the clock supervision to ensure Correctional Staff can hear and respond to the juvenile inmate. Juvenile inmates shall have constant auditory access to Correctional Staff. J. Juvenile inmates shall not be left in court holding areas without constant supervision by a Correctional Staff member. K. Male and female juvenile inmates shall not be placed in the same locked area. In the past 12 months, there have been no housing units to which youthful inmates are assigned that provide sight and sound separation between youthful and adult offenders in dayrooms, common areas, showers, and sleeping quarters. In the past 12 months, no youthful inmates have been placed in same housing unit as adults at this facility.</p> <p>115.14(b): N/A - Agency reports there are no youthful inmates housed at MADF. Per Primary Classification Policy Section 5.2, the facility prohibits placing youthful inmates in a housing unit in which a youthful inmate will have sight, sound, or physical contact with any adult inmate through use of a shared dayroom or other common space, shower area, or sleeping quarters. B. Juvenile inmates shall only be accepted after a juvenile court judge finds at the conclusion of a fitness hearing and upon issuance of a court order, that a minor's further detention in the juvenile hall would endanger the safety of the public and/or would be detrimental to other minors at the juvenile hall. C. Juvenile inmates shall not be housed where there is clear sustained visual or hearing contact between adult and juvenile inmates. D. Juvenile inmates shall not have physical contact with adult inmates through use of a shared dayroom, other common areas, shower areas or sleeping areas. E. Movement of juvenile inmates shall be scheduled in advance as much as is possible to prevent adult and juvenile inmates from having contact with each other. F. In situations where brief or accidental</p>

contact may occur, such as booking or facility movement, correctional staff shall maintain a constant, side by side presence with the juvenile inmate or an adult inmate to prevent sustained contact. G. Juvenile inmates shall only be placed in direct supervision units with continuous around the clock supervision to ensure Correctional Staff can hear and respond to the juvenile inmate. Juvenile inmates shall have constant auditory access to Correctional Staff. J. Juvenile inmates shall not be left in court holding areas without constant supervision by a Correctional Staff member. K. Male and female juvenile inmates shall not be placed in the same locked area. In the past 12 months, there have been no housing units to which youthful inmates are assigned that provide sight and sound separation between youthful and adult offenders in dayrooms, common areas, showers, and sleeping quarters. In the past 12 months, no youthful inmates have been placed in same housing unit as adults at this facility.

115.14(c): N/A - The Agency reports youthful inmates are not housed at North County Detention Facility (NCDF) per Primary Classification Policy Section 5.2.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.14.

115.15	Limits to cross-gender viewing and searches
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1484 1124">115.15(a): Policy Searches-Pat-Searches-and-Strip-Searches - Conducting Strip Searches page 7 section B mandates that: B. Strip Search Requirements. When conducting a strip search, Deputies shall comply with the following: 1. Same sex requirement. Any person conducting or otherwise present during a strip search must be of the same sex as the person being searched, except for physicians, licensed medical personnel, or if exigent circumstances require otherwise. a. In the event exigent circumstances require that a person of the opposite sex participate in a strip search, all reasonable actions shall be taken to prevent the person of the opposite sex from viewing the body cavities, breasts, buttocks, or genitalia of the person being searched. All cross gender strip searches shall be documented in an incident report. b. When conducting a strip search of a transgender, the sex of the Deputies chosen to conduct or participate in the search shall be determined based on the predominate sexual characteristics of the genitalia of the transgender being searched. 2. Touch prohibitions. Deputies shall not deliberately touch the breasts, buttocks, or genitalia of the person being searched. In the past 12 months, no cross-gender strip or cross-gender visual body cavity searches of inmates have been conducted. In the past 12 months, there have been no cross-gender strip or cross-gender visual body cavity searches of inmates that did not involve exigent circumstances or were performed by non-medical staff.</p> <p data-bbox="256 1164 1473 1657">115.15(b): Per Policy Searches-Pat-Searches-Conditions & Procedures - Pat Search Protocols page 3 section C mandates that "Female inmates may only be pat searched by female Deputies, except in exigent circumstances. Female inmates' access to regularly available programming or other out-of-cell opportunities shall not be restricted in order to comply with this provision. Barring exigent circumstances, male Correctional Staff are prohibited from pat searching female inmates. All cross gender pat searches of female inmates by male Correctional Staff shall be documented in an incident report. The facility does not restrict female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision. No pat-down searches of female inmates that were conducted by male staff. No pat-down searches of female inmates conducted by male staff that did not involve exigent circumstance.</p> <p data-bbox="256 1668 1461 1780">No inmates have been housed in North County Detention Facility (NCDF) for the past 17 months. Staff assigned to NCDF were transferred to the Main Jail Facility 16 months ago.</p> <p data-bbox="256 1821 1461 2067">115.15(c): Policy Searches-Pat-Searches-and-Strip-Searches - Conducting Strip Searches page 7 section B mandates that: B. Strip Search Requirements. When conducting a strip search, Deputies shall comply with the following: 1. Same sex requirement. Any person conducting or otherwise present during a strip search must be of the same sex as the person being searched, except for physicians, licensed medical personnel, or if exigent circumstances require otherwise. a. In the event</p>

exigent circumstances require that a person of the opposite sex participate in a strip search, all reasonable actions shall be taken to prevent the person of the opposite sex from viewing the body cavities, breasts, buttocks, or genitalia of the person being searched. All cross gender strip searches shall be documented in an incident report.

115.15(d): Facility Rounds policy 5.1.D mandates that Deputies will ensure inmates are able to shower, perform bodily functions, and change clothing without staff of the opposite gender viewing their breasts, buttocks, or genitalia except in exigent circumstances or when such viewing is incidental to routine cell checks. Agency has placed placards throughout the facility which require staff of the opposite gender to announce themselves when entering a housing unit of the opposite gender: "Female in the Unit" or "Male in the Unit". North County Detention Facility houses only male inmates.

During the physical plant review, auditor observed a number of areas where there is an opportunity for staff of opposite gender could observe inmates in various stages of undress and toileting when viewing was not incidental to routine cell checks.

No inmates have been housed in North County Detention Facility (NCDF) for the past 17 months. Staff assigned to NCDF were transferred to the Main Jail Facility 16 months ago.

115.15(e): Transgender-Intersex Policy 4.0, C mandates that "Transgender or intersex inmates shall not be searched or physically examined for the sole purpose of determining the inmate's genital or gender status. If the inmate's genital or gender status is unknown, it may be determined during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner." Agency reports that no such searches have occurred in the past 12 months.

No inmates have been housed in North County Detention Facility (NCDF) for the past 17 months. Staff assigned to NCDF were transferred to the Main Jail Facility 16 months ago.

115.15(f): Agency reports that 100% of all security staff who received training on conducting cross-gender pat-down searches and searches of transgender and intersex inmates in a professional and respectful manner, consistent with security needs (the percentage given does not necessarily indicate compliance or non-compliance with the standard. Agency provided auditor with compliant Transgender Policy Training PPT. Agency also provided auditor with a 10 page Transgender Course Completion Report which verifies 160 staff members completed the Transgender Policy Training between 9/26/18 & 5/2/19.

No inmates have been housed in North County Detention Facility (NCDF) for the past 17 months. Staff assigned to NCDF were transferred to the Main Jail Facility 16 months ago.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.15(d) and corrective action is required.

Corrective Action Recommended:

115.15(d): During North County Jail Site Review, auditor discovered opportunities for cross gender viewing issues during toileting:

Housing UNIT 202 - Needs partition or window film between 2 urinals that can be viewed through window located outside of the bathroom

Housing UNIT 401 - Cross-Gender viewing of toilets in cells. Barrier or Film required to dissuade or eliminated cross-gender viewing during toileting

Discharge Changing Room - Note: if opposite gender staff provides clothing to inmates in the changing room, there may be cross-gender viewing opportunity by the staff member should they bypass the shades provided. Agency to provide memorandum or policy which mandates or dictates procedures to ensures cross-gender viewing is eliminated in the event cross-gender staff is involved in clothing exchange.

Corrective Action Completion 6/7/22:

115.15(d): On 4/21/22, Agency staff provided the following:

- Window film on all cells in housing unit 401
- Window film on inmate restroom window which provides privacy during toileting in housing unit 202
- Dome mirror in unit 502 restroom with patch covering view of the bathroom stalls

6/7/22: Agency provided photo which verifies film placement on Discharge Changing Room glass door panel to dissuade cross-gender viewing during changing clothes.

The agency/facility has met the requirements of Standard provision(s) 115.15(d) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.15.

<p>115.16</p>	<p>Inmates with disabilities and inmates who are limited English proficient</p>
<p>Auditor Overall Determination: Meets Standard</p>	
<p>Auditor Discussion</p>	
<p>115.16(a): Agency has established the following Policy and procedures to provide disabled inmates equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment: disability-accommodations (1).docx, DD 939 Inmate Education PREA.docx, 935A PREA Education brochure Spanish.docx, 939A Inmate Education PREA in Spanish.docx, PREA Education brochure.docx, Sheriff's Office PREA Orientation.pdf.</p> <p>Interview with Agency Head designee indicates that the Agency has established procedures to provide disabled inmates who are Limited English Proficient to participate in all aspects of communication and documentation provided to all inmates by providing PREA information by way of staff interpreters, AT&T interpreter services, tablets with Spanish language and orientation brochures in Spanish provided to inmates in housing units. Inmates with vision issues are provided assistance from staff, TDD phones and tablets in the housing unit. Inmates with low reading skills are assisted through staff and those with cognitive disabilities are assisted through medical.</p> <p>NOTE: There have been no inmates assigned or housed at NCDF since 11/19/2020.</p> <p>115.16(b): Agency has established the following Policy and procedures to provide inmates with limited English proficiency equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. Communications with Persons with Disabilities.pdf, classification-primary-classification (1).docx, 939A Inmate Education PREA in Spanish.docx, disability-accomodations (1).docx, prison-rape-elimination-final (1).docx, 935A PREA Education brochure Spanish.docx, primary-classification-decision-tree-dd-936.pdf.</p> <p>Interview with Agency Head designee indicates that the Agency has established procedures to provide disabled inmates who are Limited English Proficient to participate in all aspects of communication and documentation provided to all inmates by providing PREA information by way of staff interpreters, AT&T interpreter services, tablets with Spanish language and orientation brochures in Spanish provided to inmates in housing units. Inmates with vision issues are provided assistance from staff, TDD phones and tablets in the housing unit. Inmates with low reading skills are assisted through staff and those with cognitive disabilities are assisted through medical.</p> <p>North County Detention Facility (NCDF) televisions, telephones and any other electronics are not operable due to physical plant electrical rewiring in the housing units. Agency to inform auditor when electronic communication is available to for inmates to effectively participate in agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment per Standard provision 115.16(b). Auditor to schedule a second physical plant review to verify compliance with Standard provision</p>	

115.16(b).

NOTE: There have been no inmates assigned or housed at NCDF since 11/19/2020.

115.16(c): Agency policy prohibits use of inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations. Communications with Persons with Disabilities Policy- 332.4 Factors to consider sec C mandates that "Because the nature of any law enforcement contact may vary substantially from one situation to the next, members of this office should consider all information reasonably available to them when determining how to communicate with an individual with a disability. Members should carefully balance all known factors in an effort to reasonably ensure people who are disabled have equal access to services, programs and activities. These factors may include, but are not limited to:

- ◆
- ◆
- ◆
- ◆
- ◆

- ◆
- ◆
- ◆
- ◆



(a) Members should not always assume that effective communication is being achieved. The fact that an individual appears to be nodding in agreement does not always mean he/she completely understands the message. When there is any doubt, members should ask the individual to communicate back or otherwise demonstrate their understanding.

(b) The nature of the disability (e.g., deafness or blindness vs. hard of hearing or low vision).

(c) The nature of the law enforcement contact (e.g., emergency vs. non-emergency, custodial vs. consensual contact). ◆

◆ (d) The availability of auxiliary aids. The fact that a particular aid is not available does not eliminate the obligation to reasonably ensure access. However, in an emergency, availability may factor into the type of aid used.

NOTE: There have been no inmates or custody staff assigned or housed at NCDF since 11/19/2020.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.16(b) and corrective action is required.

Corrective Action Recommended:

115.16(b): NCDF televisions, telephones and any other electronics are not operable due to physical plant electrical rewiring in the housing units.

1. Agency to inform auditor when electronic communication is available to for inmates to effectively participate in agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment per Standard provision 115.16(b).

2. Auditor to schedule a second physical plant review to verify compliance with Standard provision 115.16(b).

Corrective Action Completion 8/25/22:

115.16(b): During the 90-day post audit site review auditor conducted a physical plant review of the North County Jail. Auditor was informed by the PREA Coordinator that electronic devices have been rewired throughout the facility and the phones have been connected to the Global Tel Link system to access the Verity Crisis Hotline. Agency provided PREA Posters throughout the facility and in each housing unit in both English and Spanish. Auditor tested the Global Tel link Verity Crisis Hotline to access anonymous reporting and advocacy. Contacted the answering service for Verity through the inmate phones in in randomly selected housing units 101, 201, 202, 203, 501, 502. Phones throughout the facility are active and the answering service immediately forwarded the call to the Verity advocate. The answering service asked for contact number and when I indicated I could not provide a call back number to the jail, they had no problem in forwarding the call to the advocate on duty. The advocate indicated that Verity will accept anonymous reporting and does not require a PIN number for access. The advocate obtains as much information when an inmate contacts them and provides notice of the call to Sonoma CJ. Should the inmate wish to remain anonymous during the call, the advocate will inform the caller they will maintain their anonymity when contacting the jail and educates them that the agency may be unable to prosecute the perpetrator should the caller wish to remain anonymous.

The agency/facility has met the requirements of Standard provision(s) 115.16(b) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.16.

115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.17(a): Per Policy 5.13 Hiring and Promotional Process mandates that The agency shall not hire or promote anyone who may have contact with inmates, and shall not enlist the services of any contractor or volunteer who may have contact with inmates, who:</p> <ol style="list-style-type: none"> 1. Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or any other institution. 2. Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refused 3. Has been civilly or administratively adjudicated to have engaged in the activity described above. 4. All incidents of sexual harassment shall be considered when determining whether to hire or enlist the services of any contractor, volunteer, or staff member who may have contact with an inmate. 5. Before hiring, all employees, contractors, and volunteers shall be subject to a criminal background check prior to employment. Consistent with Federal, State, and local law, the Office shall make its best effort to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse. 6. Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for disqualification. <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.17(b): Per Policy 5.13 Hiring and Promotional Process mandates that "All incidents of sexual harassment shall be considered when determining whether to hire or enlist the services of any contractor, volunteer, or staff member who may have contact with an inmate." Interview with the Human Resources Administrator (HR), indicates the facility considers prior incidents of sexual harassment when determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily</p>

closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.17(c): Per Policy 5.13 Hiring and Promotional Process mandates that "Before hiring, all employees, contractors, and volunteers shall be subject to a criminal background check prior to employment. Consistent with Federal, State, and local law, the Office shall make its best effort to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse." Agency reports that in the past 12 months, 28 people were hired who may have contact with inmates who have had criminal background record checks.

Interview with the Human Resources Administrator (HR), indicates the Agency/facility conducts criminal records background checks on all newly hired employees, contractors and volunteers.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.17(d): Per Policy 5.13 Hiring and Promotional Process mandates that "Before hiring, all employees, contractors, and volunteers shall be subject to a criminal background check prior to employment. Consistent with Federal, State, and local law, the Office shall make its best effort to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse."

Interview with the Human Resources Administrator (HR), indicates the Agency/facility conducts criminal records background checks on all newly hired employees, contractors and volunteers.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main

Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.17(e): Per Policy 5.13 Hiring and Promotional Process mandates that "The agency is notified by the Department of Justice anytime an employee is fingerprinted as a result of any arrest." Agency reports that Fingerprints are completed for contractors that are unescorted. Notifications will be sent to the Sheriff's Office of any criminal arrest. Narrative is non-compliant with Standard provision 17(e). Agency to provide documentation verification whereas Agency completes criminal records background checks every 2 years for contractors.

115.17(f): Per Policy 5.13 Hiring and Promotional Process mandates that "The agency shall not hire or promote anyone who may have contact with inmates, and shall not enlist the services of any contractor or volunteer who may have contact with inmates, who:

1. Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or any other institution.
2. Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refused
3. Has been civilly or administratively adjudicated to have engaged in the activity described above.

Interview with Human Resources Administrator indicates that the Agency includes the 3 required questions with the hiring application for custody staff and contractors prior to completing the criminal records background checks. Agency provided auditor with copies of the questions. The facility imposes upon employees a continuing affirmative duty to disclose any such previous misconduct.

115.17(g): Per Policy 5.13 Hiring and Promotional Process mandates that "Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for disqualification."

115.17(h): Per Policy 5.13 Hiring and Promotional Process mandates that ""Before hiring, all employees, contractors, and volunteers shall be subject to a criminal background check prior to employment. Consistent with Federal, State, and local law, the Office shall make its best effort to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse."

Interview with Human Resources Administrator indicates that the agency shall provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work.

Based upon the analysis of evidence, the auditor finds the facility is not fully

compliant with Standard provision(s) 115.17(e) and corrective action is required.

Corrective Action Recommended:

115.17(e): Interview with Human Resources Administrator indicates that criminal records background checks area completed every 2 years for contractors.

1). Agency to provide documentation verification whereas Agency completes criminal records background checks every 2 years for contractors.

Corrective Action Completion 9/6/22:

115.17(e): HR Administrator re-interviewed this date. She re-checked with background staff to verify the contractor Live-Scan system documentation was marked for both DOJ and FBI clearances. Agency provided auditor with Live-Scan returns for contractors randomly selected by auditor. In addition, agency continues to conduct background checks on contractors every 2 years despite the FBI clearance prior to hire date which provides the out of state notification of arrest and law enforcement contact as is provided for law enforcement employees.

The agency/facility has met the requirements of Standard provision(s) 115.17(e) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.17.

115.18	Upgrades to facilities and technologies
	Auditor Overall Determination: Meets Standard
	<p data-bbox="256 264 560 297">Auditor Discussion</p> <p data-bbox="256 342 1414 454">115.18(a): Agency reports that neither the agency or facility has acquired a new facility or made a substantial expansion or modification to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.</p> <p data-bbox="256 465 1433 667">Interview with Agency Head designee and Facility Commander indicates that when designing, acquiring, or planning substantial modifications to facilities the agency considers the effects of such changes on his ability to protect inmates from sexual abuse based upon building design, access to facilities, video monitoring, jail management system and site lines.</p> <p data-bbox="256 701 1461 902">115.18(b): Agency reports facility has installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later. Agency installed additional mirrors in the kitchen and Unit 501 to increase visibility and prevent PREA related instances.</p> <p data-bbox="256 913 1465 1115">Interview with Agency Head designee and Facility Commander indicates that installing or updating monitoring technology such as video monitoring system or electronic surveillance considers using technology to enhance protection from sexual abuse, such as the percentage of cameras, sight lines of showers and toilets, coverage and privacy for inmates, the Rounds system and CCTV.</p> <p data-bbox="256 1149 480 1182">CONCLUSION:</p> <p data-bbox="256 1193 1358 1272">Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.18.</p>

115.21	Evidence protocol and forensic medical examinations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.21(a): Per PREA Policy 5.4 Reporting and Investigations mandates that "Staff members, contractors and volunteers shall accept all allegations made verbally, in writing, anonymously, from third parties and promptly notify a supervisor or manager. All incidents of sexual abuse, sexual assault and sexual harassment upon inmates shall be investigated promptly, thoroughly, objectively and forwarded through the chain of command. Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution. F. A patrol deputy shall be called to respond to all PREA related crimes that occur within the Detention Division. A patrol deputy shall refer all felony PREA related crime investigations to a domestic violence and sexual assault (DVSA) investigator. The DVSA investigators shall conduct investigations in compliance with all applicable PREA standards. Agency investigators follow a uniform evidence protocol Per PREA Policy 5.3, Policy 601 Patrol Policy for Response to Sexual Assault Investigations and Sonoma County Sheriff's Department Property/Evidence Packaging Manual.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates. On 6/11/22, During Issue Log#1 review, PREA Coordinator indicated that the agreement between Sutter Sonoma Medical Center and County of Sonoma expired in 2021 and was not renewed. Procedures are provided in the SART TEAM Policy for Sexual Assault Investigation Policy & Wellpath Policy & Procedure, which has been provided to the auditor.</p> <p>115.21(b): Agency investigators follow a uniform evidence protocol Per PREA Policy 5.3 - Response to a PREA Incident (for both Main and North County Jail facilities and Policy 601 Patrol Policy for Response to Sexual Assault Investigations states that "It is the policy of the Sonoma County Sheriff's Office that its members, when responding to reports of sexual assaults, will strive to minimize the trauma experienced by the victims, and will aggressively investigate sexual assaults, pursue expeditious apprehension and conviction of perpetrators, and protect the safety of the victims and the community."</p> <p>Policy 605 - Adult Sexual Assault Investigations Section 601 Purpose and Scope indicates that "The purpose of this policy is to establish a uniform procedure for the investigation and documentation of allegations of sexual assault involving adult victims. It shall be the policy of the Sonoma County Sheriff's Department to</p>

document all reported cases of sexual assault utilizing the Departmental crime/incident report form. PREA Policy investigative protocol is developmentally appropriate for youth."

Sonoma CJ is an adult facility and does not provide contact visiting unless with an attorney or advocate services. Agency indicates that the Sonoma CJ protocol utilized in the creation of the Adult-Sexual Assault Investigations #605 Policy is based on the most recent edition of the DOJ's Office on Violence Against Women publication, ""A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents, or similarly comprehensive and authoritative protocols developed after 2011.

115.21(c): PREA Policy Section 5.5 mandates that:

A. Inmate victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment.

B. Inmate victims of sexual abuse while incarcerated shall be offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. Treatment services shall be provided to the victim without financial cost, regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. Forensic medical evaluations will not be conducted at the detention facility. Any forensic medical evaluation necessary will be conducted at an off-site medical facility by qualified medical professionals.

Per Policy 601 Sexual Assault Investigations, A multidisciplinary team generally comprised of advocates; law enforcement officers; forensic medical examiners, including sexual assault forensic examiners (SAFE) or sexual assault nurse examiners (SANE) if possible; forensic laboratory personnel; and prosecutors. The team is designed to coordinate a broad response to sexual assault victims. SAFE/SANE are part of the SART team required to process sexual assault forensic examinations. Agency reports that no forensic medical exams have been conducted, or exams performed by SANE/SAFE or qualified medical practitioners during the past 12 months. Agency reports that SAFE and SANE are the primary collectors, in the case they are not available another qualified forensic examiner is used. SAFE/SANE are part of the SART team required to process sexual assault forensic examinations. Interview with the SAFE/SANE Director of Nursing at Sutter Sonoma Hospital indicates that Sutter Sonoma Hospital is responsible to conduct all forensic medical examinations for the Sonoma County Sheriff's Department inmate population who have alleged to have suffered sexual abuse. They do not conduct forensic examinations or collect forensic information from the perpetrator as that is not included in the MOU. Forensic evidence is provided to the investigator. Forensic examinations take 3 - 4 hours to collect and is conducted at the Sutter Hospital Forensic Room. SAFE/SANE examiners are available 24/7 and are on-call.

115.21(d): Per PREA Policy 5.3 mandates that "The Watch Commander shall review the measures taken to ensure the incident is being investigated and documented

appropriately. All victims of sexual abuse shall be afforded the opportunity to have a Victim Rights Advocate made available to accompany and support the victim through the forensic medical examination process, the investigative interviews and shall provide emotional support, crisis intervention, information and referrals, at no cost to the inmate." Agency reports that they use Verity Rape Crisis Center which is open 24/7 to provide victim advocates. Both agencies agree and believe that the implementation of Verity Rape Crisis Center Services between Verity and the Sonoma County Sheriff's Office will further this goal. Furthermore, both agencies agree that specific direct services must be provided to currently and formerly incarcerated survivors. The term for this Memorandum of Understanding is January 1, 2022 to January 1, 2025. To these ends, each agency agrees to participate in the program by coordinating the following services: Verity agrees to provide advocacy support and timely follow up services for clients seen at the Sonoma County Sheriff's Office and for clients in Sonoma County adult detention facilities, as well as other supportive services as required. Verity also agrees to consistently provide in-person advocacy, counseling, and follow up services to clients in Sonoma County adult detention facilities. The Sonoma County Sheriff's Office (SCSO), agrees to refer sexual assault survivors and/or their families for supportive services and counseling services provided by Verity. SCSO also agrees to implement efforts to remove barriers for Verity advocates and counselors to access the Sheriffs adult detention facilities to enable more efficient and holistic provision of services to clients.

Interview with PREA Compliance Manager indicates that if victim requests a victim advocate, one is afforded to him/her. Victim advocate follows the victim through forensics, investigation and court follow-up.

During the PREA Audit there were no inmate victims of sexual abuse housed at the facility.

115.21(e): Per Policy 601 Sex Assault Investigations 8.1 Victim Rights mandates that: "Whenever there is an alleged sexual assault, the assigned deputy shall accomplish the following: (a) Advise the victim in writing of the right to have a victim advocate and a support person of the victim's choosing present at any interview or contact by law enforcement, any other rights of a sexual assault victim pursuant to Penal Code § 680.2, and the right to have a person of the same or opposite gender present in the room during any interview with a law enforcement official unless no such person is reasonably available (Penal Code § 679.04). (b) If the victim is transported to a hospital for any medical evidentiary or physical examination, the deputy shall immediately cause the local rape victim counseling center to be notified (Penal Code § 264.2).

1. The deputy shall not discourage a victim from receiving a medical evidentiary or physical examination (Penal Code § 679.04). 2. A support person may be excluded from the examination by the deputy or the medical provider if his/her presence would be detrimental to the purpose of the examination (Penal Code § 264.2).

Interview with the PREA Compliance Manager indicates that the Agency ensures that the services of the Rape Crisis Center Victim Advocate meets the qualifications described in /standard 115.21(d) as services are outlined in the MOU between Sonoma County Sheriff's Office and Verity

115.21(f): N/A - Per Sexual Assault Investigations Policy 601.2 It is the policy of the Sonoma County Sheriff's Office that its members, when responding to reports of sexual assaults, will strive to minimize the trauma experienced by the victims, and will aggressively investigate sexual assaults, pursue expeditious apprehension and conviction of perpetrators, and protect the safety of the victims and the community.

115.21(g): Auditor is not required to audit this provision.

115.21(h): N/A - Per PREA Policy 5.3 mandates that "The Watch Commander shall review the measures taken to ensure the incident is being investigated and documented appropriately. All victims of sexual abuse shall be afforded the opportunity to have a Victim Rights Advocate made available to accompany and support the victim through the forensic medical examination process, the investigative interviews and shall provide emotional support, crisis intervention, information and referrals, at no cost to the inmate." Agency reports that they use Verity Rape Crisis Center which is open 24/7 to provide victim advocates.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.21.

115.22	Policies to ensure referrals of allegations for investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.22(a): Per PREA Policy Section 5.4 mandates that "Staff members, contractors and volunteers shall accept all allegations made verbally, in writing, anonymously, from third parties and promptly notify a supervisor or manager. All incidents of sexual abuse, sexual assault and sexual harassment upon inmates shall be investigated promptly, thoroughly, objectively and forwarded through the chain of command. Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution. Agency reports that In the past 12 months, no allegations of sexual abuse and sexual harassment that were received, all resulting in administrative investigations. In the past 12 months, no allegations were referred for criminal investigation. Interview with Agency Head designee indicates that administrative or criminal investigations are completed for allegation of sexual abuse or sexual harassment as they are reported through the chain of command. Domestic Violence Sexual Assault Unit (DVSA) then takes over to complete the criminal investigation and forwards to the District Attorney's office for review. Internal Affairs investigation is tolled pending the completion of the criminal investigation.</p> <p>Facility has not housed inmates in the last 12 months. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.22(b): Per PREA Policy Section 5.4 mandates that "Staff members, contractors and volunteers shall accept all allegations made verbally, in writing, anonymously, from third parties and promptly notify a supervisor or manager. All incidents of sexual abuse, sexual assault and sexual harassment upon inmates shall be investigated promptly, thoroughly, objectively and forwarded through the chain of command. Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution. " PREA Policy 5.11 Data Collection and Annual Report mandates that "The information from all the incidents in the prior calendar year will be reviewed and compiled into an annual report. The report shall also include corrective actions for each facility, the Sheriff's Office as a whole, along with a comparison to the previous year's data and submitted to the Sheriff for review. Upon approval of the Sheriff, the report shall be posted on the Sheriff Office's website annually after all necessary redactions have been made pursuant to California Penal Code 293." Upon review of the Sheriff's Office website, auditor discovered a list of Annual PREA reports from</p>

2013 to 2020. The last Annual Report was completed in 4/8/2021. The Annual Reports follow the PREA mandate of 115.22(b)-2. PREA Policy 5.4 Reporting and Investigations mandate that S. Upon receipt of a completed investigation, the PREA Coordinator shall document the incident using a U.S. Department of Justice Bureau of Justice Statistics (USDOJ BJS) form SSV-1A and maintain the copy for use in the report submitted annually to USDOJ BJS. A copy shall be retained for no less than ten years. T. The PREA Coordinator shall compile all information pertaining to assaults, abuse, and harassment on inmates, annually. This information shall be submitted to the USDOJ BJS using form SSV-3. This form shall be submitted to the USDOJ BJS electronically. A copy of the SSV-3 as well as copies of all reports included in the SSV-3 shall be forwarded to the Detention Division Assistant Sheriff and the PREA Compliance Manager. A copy of this data shall be maintained for no less than ten years. U. The PREA Coordinator shall create an annual report using the data collected during the previous year and submit the report to the Sheriff for review. Once approved, this report shall be placed on the Sheriff's Office web site for public viewing. Names of all involved parties shall be redacted. Auditor's review of the Sheriff's website found Agency following both Policy and the PREA Standard. Interview with investigative staff indicates that Agency conducts it's own investigations unless the case was farmed out to another agency.

Review of Agency website found public statement in the PREA section of the website that states: "The Sonoma County Sheriff's Office, in compliance with the Prison Rape Elimination Act of 2003, mandates zero tolerance toward all forms of sexual abuse, sexual harassment and sexual assault of any inmate in custody. There shall be zero tolerance for retaliation of any sort against anyone who reports or cooperates with the investigations of such acts. All incidents of sexual abuse that occur in the Sonoma County Detention Facilities shall be thoroughly investigated, documented and reported in accordance with the mandates set forth in the 2003 Prison Rape Elimination Act (PREA) and the Department of Justice National PREA Standards."

115.22(c): N/A - Agency is responsible for conducting criminal investigations and provides their investigative responsibilities on the Sheriff's website through the Annual Reports and their PREA Policy.

115.22(d): Auditor is not required to audit this provision.

115.22(e): Auditor is not required to audit this provision.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.22.

115.31	Employee training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.31(a): PREA Policy 5.1 mandates "All staff, including contractors and volunteers who may have inmate contact, shall receive training on the following topics: a. The Office's zero tolerance policy for sexual misconduct, sexual assault, and sexual harassment. b. The rights of inmates, staff, contractors and volunteers to be free from sexual misconduct, sexual abuse and sexual harassment. c. The rights of inmates, staff, contractors and volunteers to be free from retaliation for good faith reporting of suspected or observed instances of sexual misconduct, sexual abuse or sexual harassment. d. How staff and volunteers can fulfill their responsibilities under the Sheriff's Office sexual abuse and harassment prevention, detection, reporting and response policies. e. The dynamics of sexual misconduct, abuse and harassment in confinement. f. The common reactions of sexual misconduct, abuse and harassment in confinement. g. How to detect and respond to signs of threatened and actual sexual misconduct, abuse or harassment. h. How to avoid inappropriate relationships with detainees and inmates. i. How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex and gender nonconforming inmates. j. Mandatory reporting requirement. Agency provided auditor with copy of the Sheriff's Office PREA Orientation PPT, which meets PREA employee training requirements.</p> <p>There are no available training records specifically for North County Jail staff as there have been no inmates housed in the facility or staff assigned to the facility since November 2020.</p> <p>Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.31(b): Training is tailored to the gender of the inmates at both facilities as training includes Cross-gender pat search and Policy Training and Transgender training. Agency provided auditor with electronic training roster for 246 staff members who completed the Transgender Policy/Pat Searches/Cross-Gender Pat Search Training between 2018 and 2019. Agency reports that employees who are reassigned from facilities housing the opposite gender are given additional training per the PREA Policy.</p> <p>Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily</p>

closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates. When NCDF transferred both staff and inmates to the Main Jail in November 2020. Staff are required to have been given additional training since NCDF houses only male inmates and MCDF houses both male and female inmates. Agency to provide auditor with documentation which verifies that employees who are reassigned from facilities housing the opposite gender are provided additional training.

115.31(c): PREA Policy 5.1 Training mandates that "All employees shall receive refresher training bi-annually. All employees, in years when the above listed training (115.31(a), is not provided, refresher information shall be provided on current sexual abuse and sexual harassment policies.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.31(d): The agency documents that employees who may have contact with inmates understand the training they have received through employee signature or electronic verification. NCDF has not been staffed nor inmates housed since November 19, 2020.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

Based upon the analysis of evidence, the auditor finds the facility is not fully

compliant with Standard provision(s) 115.31(b) and corrective action is required.

Corrective Action Recommended:

115.31(b): When NCDF transferred both staff and inmates to the Main Jail in November 2020. Staff are required to have been given additional training since NCDF houses only male inmates and MADF houses both male and female inmates.

1. Agency to provide auditor with documentation which verifies that employees who are reassigned from facilities housing the opposite gender are provided additional training.

Corrective Action Completion 8/25/22:

115.31(b): Due to detention staff being able to do overtime in staff of facilities, the annual pre-training includes training for both genders. The Sonoma County Sheriff's office is in compliance with the PREA Standard 115.31B. Auditor reviewed the PREA training PowerPoint and verified that custody staff are provided PREA training to supervise and monitor both facilities and both genders. Topics such as definitions, searches, myths, vulnerable populations, common physical and emotional reactions, screening, announcements, effective & professional communication with inmates are in reference to both male and female genders.

The agency/facility has met the requirements of Standard provision(s) 115.31(b) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.31.

115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.32(a): Per PREA Policy 5.1 Training mandates that "All staff, including contractors and volunteers who may have inmate contact, shall receive training on the following topics:</p> <ul style="list-style-type: none"> a. The Office's zero tolerance policy for sexual misconduct, sexual assault, and sexual harassment. b. The rights of inmates, staff, contractors and volunteers to be free from sexual misconduct, sexual abuse and sexual harassment. c. The rights of inmates, staff, contractors and volunteers to be free from retaliation for good faith reporting of suspected or observed instances of sexual misconduct, sexual abuse or sexual harassment. d. How staff and volunteers can fulfill their responsibilities under the Sheriff's Office sexual abuse and harassment prevention, detection, reporting and response policies. e. The dynamics of sexual misconduct, abuse and harassment in confinement. f. The common reactions of sexual misconduct, abuse and harassment in confinement. g. How to detect and respond to signs of threatened and actual sexual misconduct, abuse or harassment. h. How to avoid inappropriate relationships with detainees and inmates. i. How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex and gender nonconforming inmates. j. Mandatory reporting requirement." <p>Agency provided auditor with copy of the PREA Policy, Sheriff's Office PREA training PPT for all volunteers, Facility Operations staff and contractors all of which is PREA compliant. Agency reports 355 volunteers and contractors who may have contact with inmates, who have been trained in agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response. All staff and contractors were transferred to the Main Jail in November 2020.</p> <p>Interview with 2 volunteers and 2 contractors indicate that both volunteers & contractors have been trained in PREA before they were hired to work in the facility. Both volunteers were interviewed via phone as volunteers have not been allowed in the facility to work due to COVID restrictions.</p> <p>Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p>

115.32(b): Agency reports that the level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with inmates. All volunteers and contractors who have contact with inmates have been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.

Interview with 2 volunteers and 2 contractors indicate that both volunteers & contractors have been trained in PREA before they were hired to work in the facility.

Both volunteers were interviewed via phone as volunteers have not been allowed in the facility to work due to COVID restrictions. They all recall initial PREA training consisted of reference guide, ppt presentation and ZOOM videos regarding PREA rules, expectations and 1st Responder protocol which includes who to report (Custody or Supervisor) regarding PREA incident or informed of a PREA violation.

115.32(c): The agency maintains documentation confirming that volunteers and contractors understand the training they have received. Agency uploaded signed acknowledgement or attestation forms for the randomly selected 10 volunteers and 10 contractors assigned to the Main Detention Facility to the Supplemental tab of the OAS verifying randomly selected volunteers and contractors are in compliance with PREA Standard 115.32.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.32.

115.33	Inmate education
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.33(a): Per PREA Policy 5.2 Inmate Education mandates that "All inmates shall be given a PREA advisement during the booking process, to read and sign, pertaining to the Sheriff Office's zero tolerance policy towards all forms of sexual abuse and sexual harassment, and how to report such incidents of sexual abuse or sexual harassment. This signed advisement shall be maintained in the inmate's D-file." Agency provided auditor with copy of the PREA acknowledgement form which must be signed by inmate at intake following PREA training/notification and also signed by staff who provided the notification. The signed acknowledgements were provided by the Agency in the Supplemental folder in the OAS. The acknowledgement forms are provided in both English and Spanish. Custody advisements are also available which also outlines the Zero-Tolerance Policy. Agency indicates that 59 inmates were admitted during past 12 months who were given this information at intake. Intake Unit is housed at the Main Jail. That number seems unlikely as North County Jail has been closed to housing inmates and all staff has been transferred to Main Jail since November 19, 2020 per attached Closure Letters to the Sheriff and California Board of State and Community Corrections.</p> <p style="text-align: center;">Interview with Intake Staff indicates inmates are provided with information about the zero-tolerance policy and how to report incidents or suspicions of sexual abuse or sexual harassment upon intake and again upon transfer into North County Detention Facility.</p> <p style="text-align: center;">NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.33(b): Agency reports 59 inmates admitted during the past 12 months (whose length of stay in the facility was for 30 days or more) who received comprehensive education on their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake. That number seems unlikely as</p>

North County Jail has been closed to housing inmates and all staff has been transferred to Main Jail since November 19, 2020 per attached Closure Letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021.

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Interview with Intake staff indicates that inmates are informed verbally of their rights to be free from sexual abuse and retaliation during intake. They can also ask staff member if they wish. Review of intake by auditor verifies this statement. Inmates are also asked to sign the PREA education form which provides the same information that is discussed between intake staff, classification and medical. Intake retains the original and the inmate is provided a copy of the document.

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NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.33(c): Agency reports that all inmates are provided PREA education upon intake/booking. PREA Policy 5.2 Inmate education mandates: A. All inmates shall be given a PREA advisement during the booking process, to read and sign, pertaining to the Sheriff Office's zero tolerance policy towards all forms of sexual abuse and sexual harassment, and how to report such incidents of sexual abuse or sexual harassment. This signed advisement shall be maintained in the inmate's D-file.

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B. All inmates shall be provided an inmate orientation handbook that explains the Sheriff Office's zero tolerance policy regarding sexual abuse. The hand book informs inmates that any staff member can receive a report of sexual abuse and all reports of sexual abuse will be thoroughly investigated.

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◆ C. All housed inmates shall be provided a PREA informational brochure that describes, but not limited to, what sexual abuse is, ways to stay safe while incarcerated, a warning to potential abusers, why abuse should be reported and to whom, the availability of counseling, medical services and contact information for outside agencies that can provide further support.

D. Inmate education shall be provided to all housed inmates regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and Sheriff Office's policies and procedures for responding to such incidents.

E. Each facility has PREA education and reference materials available to inmates.

These materials include, but are not limited to: posters placed in conspicuous locations throughout the facilities, hand books and brochures. Agency informs auditor that inmate education is provided in the following formats:

-PREA-education-brochure-Spanish-dd-935a.pdf

-PREA-inmate-education-English-dd-939.pdf

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-PREA-inmate-education-Spanish-dd-939a.pdf

-PREA-education-brochure-English-dd-935.pdf

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-custody-advisements-dd-526.pdf Spanish poster.pdf

Inmates are also provided PREA education via tablets that are given to the inmates.

PREA education is also available to inmates who are deaf, visually impaired and also to inmates who are otherwise disabled and with limited reading skills. For inmates who are otherwise disabled, Agency provides the following assistance, tracking and guidance to accommodate the Deaf, Visually Impaired, Limited Reading Skills.

Should inmates be otherwise disabled, they are provided the following:

- ◆ -ada-request-for-accommodations-dd-538.pdf, ◆
- ◆
- ◆
- ◆
- ◆
- ◆ -disability-accommodations.docx ◆
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- ◆ -TDD-instructions-English-dd- 520.pdf ◆
- ◆ -Communications_with_Persons_with_Disabilities.pdf

-disability-tracking-form-dd-534.pdf

-disability-evaluation-form- dd-533.pdf

TDD-instructions-Spanish-dd-520a.pdf

Interview with intake staff indicates that all inmates coming into the facility is provided a PREA Education form which provides zero-tolerance, reporting information, and comprehensive PREA education. Inmates must sign the form and are provided a copy. PREA Education form is in both English and Spanish. If inmates are otherwise disabled, they have access to bi-lingual staff interpreters, TDD, AT&T interpreters, medical and mental health practitioners for inmates who have severe cognitive or mental health issues.

115.33(d): Agency informs auditor that inmate education is provided in the following formats:

-PREA-education-brochure-Spanish-dd-935a.pdf

-PREA-inmate-education-English-dd-939.pdf



-PREA-inmate-education-Spanish-dd-939a.pdf

-PREA-education- brochure-English-dd-935.pdf



-custody-advisements-dd-526.pdf



-Spanish poster.pdf

Inmates are also provided PREA education via tablets that are given the inmates. PREA education is also available to inmates who are deaf, visually impaired and also to inmates who are otherwise disabled and with limited reading skills. For inmates who are otherwise disabled, Agency provides the following assistance:



-tracking and guidance to accommodate the Deaf, Visually Impaired, Limited Reading Skills, Otherwise disabled:



-ada-request-for-accommodations-dd-538.pdf

-disability-accommodations.docx



-TDD-instructions- English-dd-520.pdf

-Communications_with_Persons_with_Disabilities_.pdf



-disability-tracking-form-dd-534.pdf disability- evaluation-form-dd-533.pdf

-TDD-

instructions-Spanish-dd-520a.pdf

115.33(e): Agency reports they maintain documentation of inmate participation in PREA education sessions. Supporting documentation is made available in the Supplemental folder in the OAS.

115.33(f): Agency reports they provide inmates with key information about the agency's PREA policies that are continuously and readily available or visible through posters, inmate handbooks or other written formats such as below documentation provided to inmates in intake:

PREA-education-brochure-Spanish-dd-935a.pdf



PREA-inmate-education-English-dd-939.pdf



PREA-inmate-education-Spanish-dd-939a.pdf



PREA-education-brochure-English-dd-935.pdf

Spanish poster.pdf.

During physical plant review, auditor viewed PREA posters available in intake and 3rd party posting in the lobby and inmate classrooms. PREA information is also available on tablets for inmates who use them, however, PREA posters were not continuously or readily available throughout the facility or housing units. The auditor noticed there were few PREA posters throughout housing units within the facility. There is usually one PREA poster available in the middle of the housing unit away from phones amid other documentation. Occasionally, one PREA information document is available on one of a bank of 3 or more phones.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.33(f) and corrective action is required.

Corrective Action Recommended:

115.33(f): During physical plant review, auditor observed minimal access to key information to key PREA information. PREA posters were generally only available in middle of large housing units, away from the inmate phones in the event an inmate wishes to contact an outside entity to report sexual abuse or request advocacy.

1. Agency to ensure PREA Posters and key information is available throughout the facility and near phones in each housing units to make the information accessible via English and Spanish languages.

Corrective Action Completion 8/25/22:

115.33(f): During the 90-day post audit site review auditor conducted a physical plant review of the North County Jail. Auditor observed agency provided PREA Posters throughout the facility and at least in two locations within housing units in both English and Spanish. Posters were also located near the phones or on wall behind the phones in each housing units and narrative which informed inmates who they can contact in the event of sexual abuse, sexual harassment and retaliation. Posters provided the PREA Hotline number which was tested by the auditor and worked on all phones without an inmate required to provide a PIN number and the auditor may remain anonymous. Auditor was informed by the PREA Coordinator that electronic devices have been rewired throughout the facility and the phones have been connected to the Global Tel Link system to access the Verity Crisis Hotline.

The agency/facility has met the requirements of Standard provision(s) 115.33(f) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.33.

115.34	Specialized training: Investigations
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1469 999">115.34(a): Policy 601 Sexual Assault Investigations mandates that qualified investigators "Have specialized training in, and be familiar with, interview techniques and the medical and legal issues that are specific to sexual assault investigations." A Patrol Deputy will refer all PREA related crimes to the DVSA unit for investigation. Agency provided auditor with copy of the PREA Training for DVSA Detectives. Interview with 2 Domestic Violence Sexual Assault (DVSA) Detectives indicate that they both received training specific to conducting sexual abuse investigations in a confinement setting through PREA training for DVSA detectives. They indicated that the training consisted of powerpoint that covers the PREA investigative requirements, applicable laws, Miranda & Garrity warnings etc. Training was conducted by Sonoma County Sheriff's Department staff through Peace Officer Standards & Training (POST). During Corrective Action, Agency provided auditor with copies of 6 signed attestation forms which verify that all 6 assigned DVSA investigators completed training on Investigation of Sexual Abuse in a Confinement Setting. Investigators reviewed the training PPT, discussed any questions with their supervisors and signed the attestation form.</p> <p data-bbox="256 1039 1458 1281">115.34(b): Auditor was provided the training powerpoint for investigators to conduct investigations in a confinement setting. The powerpoint discusses the proper use of Miranda and Garrity warnings, sexual abuse evidence collection in a confinement setting and criteria and evidence required to substantiate a case for administrative action or prosecution referral as mandated by PREA Standard provision 115.34(b). Interview of Investigative staff indicates that they participated in training which includes techniques for interviewing victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection and confinement settings and the criteria and evidence required to substantiate a case for administrative prosecution referral. Auditor reviewed training which verifies investigators' statements.</p> <p data-bbox="256 1532 1477 1899">115.34(c): Agency reports they employ 6 investigators who have completed the required training to conduct sexual abuse investigations. Agency provided auditor with blank copy of a PREA attestation form. Agency is required to maintain documentation showing investigators have completed the required training to conduct sexual abuse investigations in a confinement setting. During Corrective Action, Agency provided auditor with copies of 6 signed attestation forms which verify that all 6 assigned DVSA investigators completed training on Investigation of Sexual Abuse in a Confinement Setting. Investigators reviewed the training PPT, discussed any questions with their supervisors and signed the attestation form.</p> <p data-bbox="256 1939 1066 1975">115.34(d): Auditor is not required to audit this provision.</p>

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.34(c) and corrective action is required.

Corrective Action Recommended:

115.34(c): Agency to provide auditor with copies of certificates and/or signed PREA attestations to document and verify that each investigator has completed the required training to conduct Sex Abuse Investigations in a Confinement Setting. To date, Agency has provided auditor with electronic training verification that 2 investigators have completed the training required by PREA to Investigate Sexual Abuse in a Confinement Setting.

1). Agency to provide auditor with electronic verification or certificates and/or signed PREA attestations which documents and verifies that the remaining 4 investigators have completed and understands the required training to conduct Sex Abuse Investigations in a Confinement Setting.

Corrective Action Completion 6/22/22:

115.34(c): During Corrective Action, Agency provided auditor with copies of 6 signed attestation forms which verify that all 6 assigned DVSA investigators completed training on Investigation of Sexual Abuse in a Confinement Setting. Investigators reviewed the training power-point (PPT), discussed any questions with their supervisors and signed the attestation form.

The agency/facility has met the requirements of Standard provision(s) 115.34(c) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.34.

115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.35(a): Per PREA Policy 5.1 Training, Agency mandates that; "All staff, including contractors and volunteers who may have inmate contact, shall receive training on the following topics:</p> <ul style="list-style-type: none"> a. The Office's zero tolerance policy for sexual misconduct, sexual assault, and sexual harassment. b. The rights of inmates, staff, contractors and volunteers to be free from sexual misconduct, sexual abuse and sexual harassment. c. The rights of inmates, staff, contractors and volunteers to be free from retaliation for good faith reporting of suspected or observed instances of sexual misconduct, sexual abuse or sexual harassment. d. How staff and volunteers can fulfill their responsibilities under the Sheriff's Office sexual abuse and harassment prevention, detection, reporting and response policies. e. The dynamics of sexual misconduct, abuse and harassment in confinement. f. The common reactions of sexual misconduct, abuse and harassment in confinement. g. How to detect and respond to signs of threatened and actual sexual misconduct, abuse or harassment. h. How to avoid inappropriate relationships with detainees and inmates. i. How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex and gender nonconforming inmates. j. Mandatory reporting requirement. <p>Agency provided auditor with copy of the Sheriff's Office PREA for Fac Ops and Contractors PPT which is PREA compliant following auditor review. Medical/Mental Health staff are considered contractors. Agency reports 103 medical and mental health care practitioners who work regularly at this facility who received the training required by agency policy. 96% of all medical and mental health care practitioners who work regularly at this facility and have received the training required by agency policy. Agency provided auditor with electronic copy of Medical Training Statistics dated 2/2/22 as supporting documentation.</p> <p>Interview with medical and mental health staff indicates that the only additional specialized training received was PREA Standards in nursing school, assessment training, Wellpath policies and PREA training through Wellpath and Sonoma County Sheriff's Department. Training included general instructions as to how to preserve evidence, response to victims of sexual abuse and sexual harassment and how to report allegations or suspicions of sexual abuse and sexual harassment. On 6/8/22, the PREA Coordinator provided auditor with a memorandum, which outlines the following: The Sonoma County Sheriffs Office contracts with Wellpath, Inc. to provide medical and mental health services to its inmates. Wellpath employs several per-diem employees that have not worked in the facility since their last PREA training certification has expired. The Sonoma County Sheriffs Office has implemented a system for these contractors to be flagged upon their next entry into the facility. The lobby will contact the Compliance Deputy to complete the contractor's PREA training</p>

before granting access into the facility. The list of volunteers or contractors that is provided during this audit may be out of compliance due to the fact that the volunteers or contractors have not returned to the facility since their last PREA training certificate has expired.

115.35(b): N/A - Agency reports medical staff does not conduct forensic examinations at the facility.

115.35(c): Medical/Mental Health staff is considered contractors. Agency reports 103 medical and mental health care practitioners who work regularly at this facility who received the training required by agency policy. 96% of all medical and mental health care practitioners who work regularly at this facility and have received the training for contractors as identified in Standard provision 115.35(a) required by agency policy. Agency provided auditor with electronic copy of Medical Training Statistics dated 2/2/22 as supporting documentation.

Agency to provide auditor written documentation which explains when the 4% of medical and mental health care practitioners who work regularly at MADF are scheduled to complete the PREA training required by Agency policy. On 6/8/22, the PREA Coordinator provided auditor with a memorandum, which outlines the following: The Sonoma County Sheriffs Office contracts with Wellpath, Inc. to provide medical and mental health services to its inmates. Wellpath employs several per diem employees that have not worked in the facility since their last PREA training certification has expired. The Sonoma County Sheriffs Office has implemented a system for these contractors to be flagged upon their next entry into the facility. The lobby will contact the Compliance Deputy to complete the contractor's PREA training before granting access into the facility. The list of volunteers or contractors that is provided during this audit may be out of compliance due to the fact that the volunteers or contractors have not returned to the facility since their last PREA training certificate has expired..

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.35(d): PREA Policy 5.1 Training mandates that "All staff, including contractors and volunteers who may have inmate contact, shall receive training on the following topics:

- a. The Office's zero tolerance policy for sexual misconduct, sexual assault, and sexual harassment.
- b. The rights of inmates, staff, contractors and volunteers to be free from sexual

misconduct, sexual abuse and sexual harassment.

c. The rights of inmates, staff, contractors and volunteers to be free from retaliation for good faith reporting of suspected or observed instances of sexual misconduct, sexual abuse or sexual harassment.

d. How staff and volunteers can fulfill their responsibilities under the Sheriff's Office sexual abuse and harassment prevention, detection, reporting and response policies.

e. The dynamics of sexual misconduct, abuse and harassment in confinement.

f. The common reactions of sexual misconduct, abuse and harassment in confinement.

g. How to detect and respond to signs of threatened and actual sexual misconduct, abuse or harassment.

h. How to avoid inappropriate relationships with detainees and inmates.

i. How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex and gender nonconforming inmates.

j. Mandatory reporting requirement."

Agency provided auditor with copies of electronic Medical Training Stats PREA training completion form which identifies 96% of MADF medical and mental health staff has completed PREA training.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.35.

115.41	Screening for risk of victimization and abusiveness
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.41(a): Per Classification PREA Policy 1.0 mandates that "All inmates shall be assessed by a classification deputy for their risk of being sexually abused by other inmates or sexually abusive toward other inmates. This assessment shall ordinarily take place within 72 hours of arrival at a facility." Primary Classification Policy 1.0 mandates that "Objective Jail Classification will be utilized to assign classification designations and housing assignments to inmates housed in the Sonoma County Detention Facilities. The first, formal classification assignment will be made by Classification Staff. Classification Staff will interview the inmate and complete the Primary Classification Decision Tree Instrument that is used to assess and evaluate the inmate's level of risk and need based on specific criteria. This process will allow Classification Staff to designate primary housing assignments."</p> <p>Interview with Risk Screening staff indicates that inmates are screened upon intermission of mission to the facility or transfer from another facility for risk of sexual victimization or sexual abusiveness towards other inmates.</p> <p>Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.41(b): Per Classification PREA Policy 1.0 mandates that "All inmates shall be assessed by a classification deputy for their risk of being sexually abused by other inmates or sexually abusive toward other inmates. This assessment shall ordinarily take place within 72 hours of arrival at a facility." Agency reports they are unable to provide number of inmates entering the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 72 hours or more and who were screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their entry into the facility as "DATA IS NOT AVAILABLE" per Agency. On 2/15/22, auditor conducted a random selection of 30 inmate intake and classification screening records (15 female and 15 male inmates) who entered the Agency/facility 2020, 2021 & 2022. Results were as follows: 15 Female inmates: Intake & initial screening, initial & comprehensive PREA training conducted on date of intake. 30-day screening reassessment conducted within 30-days except for one inmate who was within 30-days of intake at time records review was conducted. 15 Male inmates: Intake & initial screening, initial & comprehensive PREA training conducted on date of intake, 30-day screening</p>

reassessment conducted within 30-days Screening and PREA training verification documentation is provided in the OAS Supplemental files. Intake and screening assessments are conducted at the Main Jail Facility.

Interview with 2 Risk Screening Staff members indicates they screen inmates for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of intake. Risk screening documentation verifies PREA Standard compliance.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.41(c): Review of Primary Classification Policy Classification PREA Policy and Primary-Classification-decision-tree follows mandates of the PREA Standards. The Primary Classification Decision Tree serves as the Objective Screening Instrument utilized during the Risk Assessment evaluation as mandated by Standard provision 115.41(c).

115.41(d): Review of Screening Instrument (primary classification decision tree) meets the screening evaluation criteria which is addressed in the following numbers on the document:

1 - Mental, physical or developmental disability identified in: NEEDS SECTION

2 - Age identified in: #5 DOB

3 - Physical Build of inmate identified in: #19 & #20 OVERRIDE NARRATIVE

4 - Previous Incarceration identified in:


#11 PRIOR CRIMINAL CASE HISTORY & RISK ASSESSMENT 5 - Criminal history identified in:

#11 PRIOR CRIMINAL CASE HISTORY & RISK ASSESSMENT 6 - Prior Convictions identified in:

#11 PRIOR CRIMINAL CASE HISTORY & RISK ASSESSMENT 7 - LGBTI identification identified in:

PREA SECTION 8 - Previous sexual victimization in:

PREA SECTION 9 - Inmates own perception of vulnerability:

PREA SECTION 10 - Held solely for civil immigration purposes: 



#13 HOLDS.

Interview with Risk Screening staff indicates that initial risk screening considers overall inmate history, mental health & medical history, current and past charges, sexual abuse / victimization history. If inmate indicates history of sexual abuse he/she is referred to mental health for evaluation. The Decision Tree guides questions

regarding housing placement. Programming in reception reviews inmate's qualification for work and programming assignments.

115.41(e): The initial screening shall consider prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse, as known to the agency, in assessing inmates for risk of being sexually abusive.

Interview with Risk Screening staff indicates that initial risk screening considers overall inmate history, mental health & medical history, current and past charges, sexual abuse / victimization history. If inmate indicates history of sexual abuse he/she is referred to mental health for evaluation. The Decision Tree guides questions regarding housing placement. Programming in reception reviews inmate's qualification for work and programming assignments.

115.41(f): Per Classification - Review/Reclassification Policy 1.0 Policy Statement mandates: 'In order to effectively manage inmate population, it is necessary for Classification Staff to review inmates for reclassification. Inmates housed in Administrative Segregation shall be formally reviewed monthly. Continual review will be conducted on all security levels according to the needs of the facility. This process allows classification staff to re-house inmates in order to properly manage the inmate population.' Agency indicates that no inmates entered the facility over the past 12 months.

"Interview with Risk Screening staff indicates that all inmates are reassessed within 30 days of intake. Inmates who are classified as MAX BP& AD-SEG are reassessed every 2 weeks. MIN/MED/MAX are reassessed within 30 days of intake. The electronic classification listing alerts staff immediately beyond the 30-day point.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.41(g): Per Classification - PREA Policy 1.0 Policy Statement mandates: Within a set time period, not to exceed 30 days from the inmate's arrival at the facility, the classification deputy will reassess an inmate's risk of victimization or abusiveness based upon any additional relevant information received since an inmate's initial assessment. An inmate's risk level will be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that increases an inmate's risk of sexual victimization or abusiveness.

Interview with Risk Screening staff indicates all inmates are mandatorily reassessed within 30 days of intake. Inmates who are classified as MAX BP& AD-SEG are reassessed every 2 weeks. MIN/MED/MAX are reassessed within 30 days of intake.

115.41(h): Classification - PREA Policy 5.0 F mandates that: "Inmates may not be disciplined for refusing to answer, or for not disclosing complete information in response to, any questions asked pursuant to 5.0 B 1, 7, 8 and 9 of this policy." Interview with Intake staff indicates there is no discipline against inmates who do not participate in the classification questioning related to PREA.

115.41(i): Classification - PREA Policy 5.0 G mandates that: ""Classification Deputies shall control dissemination within the facility of responses to questions asked pursuant to this policy and PREA standards in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates. Interview with the PREA Coordinator, PREA Compliance Manager and Risk Screening Staff indicates that Classification data is secured within Classification offices locked file cabinets or on secure database (AIM), where only Supervisors and Leadership has access with Classification oversight during review of documentation.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.41.

115.42	Use of screening information
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.42(a): Per Classification - PREA Policy 5.1 Use of Screening Information states: A. Classification deputies shall use assessment information to make housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at a high risk of being sexually victimized from those at a high risk of being sexually abusive. B. Classification deputies will make individualized determinations about how to most effectively provide for the safety and security of each inmate. Interview with Risk Screening staff indicates that if an inmate has a history of victimization or abusiveness, he/she are placed in a housing unit that is more controlled to monitor movement and attitude towards manipulation Interview with PREA Compliance Manager indicates that the initial screening and booking, classification and medical / mental health collaborate on each individual intake to ensure each inmate is assessed to make decisions to safely house inmates.</p> <p>115.42(b): Per Classification - PREA Policy 5.1 Use of Screening Information mandates that: B. Classification deputies will make individualized determinations about how to most effectively provide for the safety and security of each inmate. Interview with Risk Screening staff indicates that if they receive any history of victimization or abusiveness, the inmate is placed in housing unit that is more conducive to control and monitor movement and attitude towards manipulation.</p> <p>115.42(c): Per Classification - PREA 5.1 Use of Screening Information mandates that: C. Classification upon determining a transgender or intersex inmate has been identified and in deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, and in making other housing and programming assignments, classification deputies will consider on a case-by-case basis whether a housing placement would ensure the inmate’s health and safety, and whether a housing placement would present management or security problems. Interview with PREA Compliance Manager indicates that Classification, medical and mental health is involved in case by case assessments to develop an individualized plan for placement that would not present management or security problems. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p>

115.42(d): Per Classification - PREA 5.1 Use of Screening Information mandates that: D. Classification deputies will schedule an interview with all transgender and intersex inmates at least every six months in order to reassess housing placements, programming assignments, and to review any inmate concerns or threats to an inmate's safety. A transgender or intersex inmate's own views with respect to his or her own safety shall be given serious consideration. All interviews shall be documented.

Interview with PREA Compliance Manager indicates that Transgender of Intersex reassessments to review any threats to safety experienced by the inmate is conducted using standard procedures for evaluation of both type of inmates. Reassessments are conducted every 30 days by classification.

Interview with Risk Screening staff indicate that Transgender or Intersex inmates are reassessed every 30 days throughout their incarceration.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.42(e): Per Classification - PREA 5.1 Use of Screening Information mandates that: D. Classification deputies will schedule an interview with all transgender and intersex inmates at least every six months in order to reassess housing placements, programming assignments, and to review any inmate concerns or threats to an inmate's safety. A transgender or intersex inmate's own views with respect to his or her own safety shall be given serious consideration. All interviews shall be documented.

Interview with PREA Compliance Manager, Risk Screening staff and Transgender inmates indicate that Transgender and Intersex inmates are reassessed every 30 days throughout the period of time they are housed at Sonoma County Jail. Staff consider the inmate's own views with respect to his or her own views and safety and given serious consideration when making facility housing decisions and programming assignments.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in

the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.42(f): Per Classification - PREA 4.0 General Information mandates that: A. Transgender and intersex inmates shall be given the opportunity to shower separately from other inmates.

Interview with PREA Compliance Manager, Risk Assessment staff, Transgender inmates indicate that Transgender and intersex inmates are provided the opportunity to shower separately from other inmates. These statements are verified through the auditor's physical plant review where housing unit showers are separate and provide privacy for inmates to shower separately and showers are in view of housing officer station.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility (NCDF) to house inmates.

115.42(g): Per Classification - PREA 4.0 General Information mandates that: B. The Sheriff's Office shall not place lesbian, gay, bisexual, transgender, or intersex inmates in housing units or wings solely on the basis of such identification or status, unless such a placement is in a dedicated housing unit or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such inmates.

Interview with the PREA Coordinator, PREA Compliance Manager LGBTI inmates, and physical plant review verifies LGBTI inmates are not housed in a dedicated facility, unit, or a wing established in connection with a consent decree, legal settlement, or legal judgment for sole purpose of protecting such inmates.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

CONCLUSION:

	<p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.42.</p>
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115.43	Protective Custody
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1481 790">115.43(a): Classification - PREA Policy 5.2 Protective Custody mandates that: A. Inmates at a high risk for sexual victimization or who allege to have suffered sexual abuse shall not be placed in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made by classification that there are no available alternative means of separation from likely abusers. If such an assessment cannot be conducted immediately, the inmate may be placed in involuntary segregated housing for a period of time, not to exceed 24 hours, while classification completes an assessment. Agency reports that no inmates at risk of sexual victimization were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment as no inmates have been housed in the facility within the past 12 months.</p> <p data-bbox="256 801 1458 1003">Interview with the Facility Commander indicates that Agency prohibits placing inmates at high risk for sexual victimization or who have alleged sexual abuse in involuntary segregated housing in lieu of other housing areas, unless an assessment has been determined there is no available alternative means of separation from potential abusers.</p> <p data-bbox="256 1014 1469 1464">Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p data-bbox="256 1498 1477 1702">115.43(b): Classification - PREA Policy 5.2 Protective Custody mandates that: B. Inmates placed in segregated housing for this purpose shall have access to programs, privileges, education, and work opportunities to the extent possible. If restrictions to access are required to programs, privileges, education, or work opportunities, the classification sergeant shall document:</p> <ol data-bbox="256 1713 895 1827" style="list-style-type: none"> (1) The opportunities that have been limited. (2) The duration of the limitation; and (3) The reasons for such limitations. <p data-bbox="256 1868 1337 1942">Agency informs auditor that there is no segregated housing at North County Detention Facility (NCDF)</p> <p data-bbox="256 1982 1477 2056">115.43(c): Agency reports that in the past 12 months, no inmates at risk of sexual victimization who were assigned to involuntary segregated housing for longer than 30</p>

days while awaiting alternative placement.

Interview with Facility Commander indicates there is no segregated housing at NCDF. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.43(d): Agency reports there are no inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.43(e): Per Classification PREA 5.2 Protective Custody mandates that:

C. Classification deputies shall assign such inmates to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged, and such an assignment will not ordinarily exceed 30 days.

D. If an involuntary segregated housing assignment is made, classification deputies shall clearly document:

Per Classification - Review/Reclassification Policy

(1) The basis for the facility's concern for the inmate's safety; and

(2) The reason why no alternative means of separation can be arranged.

(3) Such documentation will be forwarded to the classification Lieutenant and the PREA Compliance Manager.

E. Every 30 days classification shall schedule a review of any inmate that has been placed in segregated housing to determine whether or not there is a continuing need for separation from the general population. If continued separation is deemed necessary, classification deputies will notify the classification sergeant of an inmate's continued need for separation.

Agency reports there are no inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months.

Per separate letters to the Sheriff and California Board of State and Community

Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.43.

115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.51(a): Per PREA Policy 1.0 Policy Statement mandates that "The Sonoma County Sheriff's Office, in compliance with the Prison Rape Elimination Act of 2003, mandates zero tolerance toward all forms of sexual abuse, sexual harassment and sexual assault of any inmate in custody. There shall be zero tolerance for retaliation of any sort against anyone who reports or cooperates with the investigations of such acts. All incidents of sexual abuse that occur in the Sonoma County Detention Facilities shall be thoroughly investigated, documented and reported in accordance with the mandates set forth in the 2003 Prison Rape Elimination Act (PREA) and the Department of Justice National PREA Standards. The Sonoma County Sheriff's Office is committed to maintaining a program of education, prevention, detection, investigation, criminal and administrative sanctions against perpetrators, data collection, treatment and support for any inmate who is a victim of sexual abuse. Those contracted, employed by, or volunteering for the Sheriff's Office are subject to punitive sanctions for any violation of this policy. PREA Policy 5.4 H mandates that "Inmates may privately (if they so choose) report sexual harassment, abuse, or assault, retaliation for reporting, or staff indifference to any employee, volunteer or contractor. a. These reports can be made verbally or in writing through inmate request forms, letters, or any other medium. These reports can be made by third parties on behalf of the inmate. Inmates can confidentially and anonymously report sexual abuse to the Sonoma County Rape Crisis Center, using inmate telephones by dialing #141. The Sonoma County Rape Crisis Center will comply with mandatory reporting requirements by reporting sexual abuse directly to a Sergeant. a. Inmates who do not speak English may request a translator upon contacting the Sonoma County Rape Crisis Center. b. Inmates who are hearing impaired shall be provided a TDD machine and may dial the Sonoma County Rape Crisis Center using a direct telephone number. Staff members shall accept reports made verbally, in writing, anonymously and from third parties, such as advocates or family members. All such reports shall be immediately documented and the employees' immediate supervisor notified. The PREA Coordinator, the PREA Compliance Manager and the Facility Manager shall be notified, and an investigation shall immediately commence. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p>

115.51(b): PREA Policy 5.4 H mandates that Inmates can confidentially and anonymously report sexual abuse to the Sonoma County Rape Crisis Center, using inmate telephones by dialing #141. The Sonoma County Rape Crisis Center will comply with mandatory reporting requirements by reporting sexual abuse directly to a Sergeant. PREA Policy 5.7 mandates that "All victims of sexual abuse shall be afforded the opportunity to have a Victims Rights Advocate made available to accompany and support the victim through the forensic medical examination process, the investigative interviews and shall provide emotional support, crisis intervention, information and referrals, at no cost to the inmate. 1. The following Victim Rights Agencies addresses and telephone numbers are provided to all inmates:

- a. Sonoma County Rape Crisis Center
- b. Family Justice Center.
- c. Young Women's Christian Association (YWCA) counseling for women in abusive relationships.
- d. National Human Trafficking hotline.

Inmates detained solely for civil immigration purposes shall be provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security. Agency provided auditor with copy of the Consulate notification 1.0 Policy Statement which mandates, "Foreign nationals that have been arrested and detained at Sonoma County Adult Detention Facilities will retain the ability to contact their consulate. The Sheriff's Department may also have the obligation to contact a foreign nationals' consulate. International treaties and Penal Code Section 834c cover these obligations. These obligations include the ability for the inmate to communicate with, correspond with and be visited by, a consular official of their country."

Interview with the PREA Compliance Manager indicates that the facility provides opportunities for inmates to report sexual abuse or sexual harassment to a public or private entity or office is not part of the agency. Inmates can report to Verity by way of a confidential hot line available within each housing unit or write to Verity if they wish. Phone calls are not monitored and are toll-free. Inmates can also call the Sonoma County Independent Office of Law-Enforcement Review and Outreach (IOLERO), which is a civilian based agency. Interview with 21 random sample of inmates indicated that they prefer to inform staff, medical or mental health practitioners. Only a small number were aware of Verity, most inmates heard of or is familiar with IOLERO.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

During physical plant review, auditor observed minimal access to key information to key PREA information. PREA posters were generally only

available in middle of large housing units, away from the inmate phones in the event an inmate wishes to contact an outside entity to report sexual abuse or request advocacy. Agency to ensure PREA Posters and key information is available throughout the facility and near phones in each housing units to make the information accessible via English and Spanish languages. During the physical plant review, auditor noticed that all phones in the facility were not activated as they were under repair. The following housing units had phones were inoperable which made auditor unable to contact outside confidential support services to verify compliance :

Housing Units 501, 502, 201,301, 202, 203, 101, 401.

3. Agency to repair and make operable all housing unit phones and phones made accessible to inmates outside of the housing units by July 16, 2022 so auditor can schedule an onsite visit to verify compliance with PREA Standard 115.51(b).

115.51(c): PREA Policy 5.4 mandates that "Staff members, contractors and volunteers shall accept all allegations made verbally, in writing, anonymously, from third parties and promptly notify a supervisor or manager. Staff members shall accept reports made verbally, in writing, anonymously and from third parties, such as advocates or family members. All such reports shall be immediately documented and the employee's immediate supervisor notified. The PREA Coordinator, the PREA Compliance Manager and the Facility Manager shall be notified, and an investigation shall immediately commence."

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.51(d): PREA Policy 5.4 B mandates that ""All staff members, contractors and volunteers have an affirmative duty to report to a supervisor or manager all allegations, suspicions, or knowledge of sexual abuse, sexual harassment, sexual assault or any sexual misconduct involving inmates that takes place within any Sheriff's Office facility or within any other jurisdiction or agency. Failure to report is akin to committing the act and may be punishable as such.

Staff are informed of these procedures by way of Policy review, Sheriff's Office PREA Orientation Training and bi-annual training."

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main

Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.51(b) and corrective action is required.

Corrective Action Recommended:

115.51(b): During physical plant review, auditor observed minimal access to key information to key PREA information. PREA posters were generally only available in middle of large housing units, away from the inmate phones in the event an inmate wishes to contact an outside entity to report sexual abuse or request advocacy.

1. Agency to ensure PREA Posters and key information is available throughout the facility and near phones in each housing units to make the information accessible via English and Spanish languages.

2. During the physical plant review, auditor noticed that all phones in the facility were not activated as they were under repair. The following housing units had phones were inoperable which made auditor unable to contact outside confidential support services to verify compliance :

Housing Units 501, 502, 201,301, 202, 203, 101, 401.

3. Agency to repair and make operable all housing unit phones and phones made accessible to inmates outside of the housing units by July 16, 2022 so auditor can schedule an onsite visit to verify compliance with PREA Standard 115.51(b).

Corrective Action Completion 8/25/22:

115.51(b): During the 90-day post audit site review auditor conducted a physical plant review of the North County Jail to include each housing unit and auxiliary offices. Auditor observed agency provided PREA Posters throughout the facility and at least in two locations within housing units in both English and Spanish. Posters were also located near the phones or on wall behind the phones in each housing units and narrative which informed inmates who they can contact in the event of sexual abuse, sexual harassment and retaliation. Posters provided the PREA Hotline number which was tested by the auditor and worked on all phones without an inmate required to provide a PIN number and the auditor may remain anonymous. Agency is also monitoring to ensure inmates do not remove the posters and, if discovered, will be disciplined with sanctions in accordance with the inmate handbook. Auditor was informed by the PREA Coordinator that electronic devices have been rewired

throughout the facility and the phones have been connected to the Global Tel Link system to access the Verity Crisis Hotline.

The agency/facility has met the requirements of Standard provision(s) 115.51(b) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.51.

115.52	Exhaustion of administrative remedies
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1481 584">115.52(a): "The agency has an administrative procedure for dealing with inmate grievances regarding sexual abuse by way of Grievances - Inmate Policy. Inmate Grievance Policy has no remedy to sexual abuse and sexual harassment. Staff have been trained to attempt to resolve issues at the lowest level and if determined it cannot be resolved at that level, he/she is to advance the grievance to a higher level. This process does not comply with PREA Standard 115.52.</p> <p data-bbox="256 622 1481 1323">115.52(b): Grievance-Inmate Policy Section 4.4 D mandates that If it is determined, or if it appears, that a grievance is an emergency, i.e., risk to the health, safety or well-being of an inmate or inmates, immediate follow-up is required. Appropriate action will be initiated by the Deputy by immediately forwarding the grievance up the chain of command for action, if they are not able to resolve the grievance at their level. Per PREA Education Brochure provided to inmates at intake mandates that "There are no time restrictions in reporting an allegation of sexual assault or abuse. If you or someone you know has been a victim or is being victimized sexually in jail. * You can personally tell any deputy, medical, mental health staff member, ANYONE. * Tell a friend or family member so they may report it anonymously. * You can address an Inmate Request Form to a deputy, supervisor, Medical or Mental Health staff. * You can also call telephone #141 on an inmate phone to report any incidents of sexual abuse. " Grievance Inmate Policy Section 4.4, D mandates that "If it is determined, or if it appears, that a grievance is an emergency, i.e., risk to the health, safety or well-being of an inmate or inmates, immediate follow-up is required. Appropriate action will be initiated by the Deputy by immediately forwarding the grievance up the chain of command for action, if they are not able to resolve the grievance at their level."</p> <p data-bbox="256 1361 1481 1518">115.52(c): Auditor unable to locate compliant narrative in either Policy, memorandum or documentation which discusses and satisfies Standard provisions 115.52(c)-1 and 115.52(c)-2. Agency to provide documentation which meets Standard provisions 115.52(c)-1 and 115.52(c)-2</p> <p data-bbox="256 1556 1481 2056">115.52(d): Inmate Grievance Policy does not addressed by Agency/Facility Agency reports that in the past 12 months, the no grievances filed that alleged sexual abuse. In the past 12 months, no grievances alleging sexual abuse that reached final decision within 90 days after being filed. In the past 12 months, no grievances alleging sexual abuse that involved extensions because final decision was not reached within 90 days. Grievances - Inmate Policy only addresses providing inmate with verbal response to informal Grievance and written response to Formal I, II, and III Grievances, neither of which involve PREA violations Agency reports there have been no allegations of sexual abuse or sexual harassment over the past 12 months. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily</p>

closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.52(e): PREA Policy 5.4 mandates that Third parties are encouraged to report all allegations of sexual abuse or harassment by phone (707-565-1412 or 707-565-1410) and request to speak with a correctional supervisor. Allegations can also be submitted on a citizen's complaint form. Citizen complaint forms can be obtained from the front lobby of each facility, be mailed upon request or by downloading the form from the Sheriff Office's website. Inmates who do not speak English may request a translator upon contacting the Sonoma County Rape Crisis Center. Inmates who are hearing impaired shall be provided a TDD machine and may dial the Sonoma County Rape Crisis Center using a direct telephone number. Staff members shall accept reports made verbally, in writing, anonymously and from third parties, such as advocates or family members. All such reports shall be immediately documented and the employees' immediate supervisor notified. The PREA Coordinator, the PREA Compliance Manager and the Facility Manager shall be notified, and an investigation shall immediately commence. Reports can be made through the Sheriff's Office website by downloading, completing and submitting a citizen's complaint form. A PREA related citizen's complaint form submitted anonymously will be accepted. Agency reports no grievances alleging sexual abuse filed by inmates in the past 12 months appeared in which the inmate declined third-party assistance, containing documentation of the inmate's decision to decline.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.52(f) Grievances - Inmate Policy 4.4 D mandates that If it is determined, or if it appears, that a grievance is an emergency, i.e., risk to the health, safety or well-being of an inmate or inmates, immediate follow-up is required. Appropriate action will be initiated by the Deputy by immediately forwarding the grievance up the chain of command for action, if they are not able to resolve the grievance at their level. Agency reports that no emergency grievances alleging substantial risk of imminent

sexual abuse that were filed in the past 12 months. Agency reports their procedure emergency grievances alleging substantial risk of imminent sexual abuse requires that a final agency decision be issued immediately, however, there is no policy, memorandum or narrative to address this statement.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.52(g): PREA Policy Section 5.8 does not address if Agency has a written policy that limits its ability to discipline an inmate for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the inmate filed the grievance in bad faith. In the past 12 months, Agency reports that no inmate grievances alleging sexual abuse that resulted in disciplinary action by the agency against the inmate for having filed the grievance in bad faith.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.52(a), 115.52(c), 115.52(d), 115.52(f), 115.52(g) and corrective action is required.

Corrective Action Recommended:

115.52(a): Staff have been trained to attempt to resolve issues at the lowest level and if determined it cannot be resolved at that level, he/she is to advance the grievance to a higher level. This process does not comply with PREA Standard 115.52.

1. Agency to amend Policy to meet Standard provision 115.52(a)

115.52(c): Policy fails to document Policy or Procedure which allows an inmate to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred.

115.52(d): Policy fails to document Policy or Procedure which allows an inmate to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred.

1. Agency to provide auditor with copy of policy and procedure which requires that a decision on the merits of any grievance or portion of a grievance alleging sexual abuse be made within 90 days of the filing of the grievance Agency to address 115.52(d) - 7 with respect to notifying an inmate in writing when the agency files for an extension, including notice of the date by which a decision will be made. This information is not included in Policy for PREA related reporting or violations.

115.52(f): Agency reports their procedure emergency grievances alleging substantial risk of imminent sexual abuse requires that a final agency decision be issued immediately, however, there is no policy, memorandum or narrative to address this statement.

115.52(g): PREA Policy Section 5.8 does not address if Agency has a written policy that limits its ability to discipline an inmate for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the inmate filed the grievance in bad faith.

Corrective Action Completion 6/22/22:

115.52(a): Agency provided auditor with Inmate Grievance Policy 608, which addresses the issues found in the former Grievances Inmate Policy. Grievance Policy 608.4.4 Emergency Grievances mandates that "There is no timeline for grievances submitted alleging inmate safety, sexual abuse or any PREA related incident."

115.52(c): 6/22/22 - Agency provided auditor with new Grievance Policy 608.4.4 Emergency Grievances mandates that "A final decision on the merits of any portion of a grievance alleging sexual abuse shall be issued within 90 days of the initial filing of the grievance. Computation of the 90-day time period shall not include time consumed by inmates in preparing any administrative appeal. An extension of time to respond may be claimed, of up to 70 days, if the normal time for response is insufficient to make an appropriate decision. The inmate shall be notified in writing of any such extension and provide a date by which a decision will be made."

115.52(d): Grievances - Inmate Policy only addresses providing inmate with verbal response to informal Grievance and written response to Formal I, II, and III Grievances, neither of which involve PREA violations. Grievance Policy 608.4.4 Emergency Grievances mandates that "A final decision on the merits of any portion of a grievance alleging sexual abuse shall be issued within 90 days of the initial filing of

the grievance. Computation of the 90-day time period shall not include time consumed by inmates in preparing any administrative appeal. An extension of time to respond may be claimed, of up to 70 days, if the normal time for response is insufficient to make an appropriate decision. The inmate shall be notified in writing of any such extension and provide a date by which a decision will be made."

115.52(f): Agency reports their procedure emergency grievances alleging substantial risk of imminent sexual abuse requires that a final agency decision be issued immediately, however, there is no policy, memorandum, procedure or narrative to address this statement. Grievance Policy 608.4.4 Emergency Grievances mandates that "If a grievance is submitted that alleges an inmate is at immediate risk for harm or sexual abuse, immediate corrective action shall be taken and the inmate shall be removed from the imminent risk. The grievance shall be forwarded to a sergeant as soon as possible and an initial response will be provided within 48 hours. A final agency decision shall be issued within 5 calendar days. Both responses shall document whether the inmate is at substantial risk of imminent sexual abuse and what actions were taken in response to the emergency grievance."

115.52(g): PREA Policy Section 5.8 does not address if Agency has a written policy that limits its ability to discipline an inmate for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the inmate filed the grievance in bad faith. Grievance Policy 608.4.4 Emergency Grievances mandates that "If demonstrated that an inmate filed an emergency sexual abuse grievance in bad faith, the inmate may be subject to the disciplinary process."

The agency/facility has met the requirements of Standard provision(s) 115.52(a), 115.52(c), 115.52(d), 115.52(f), 115.52(g) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.52.

115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.53(a): The facility provides inmates with access to such services by giving inmates mailing addresses and telephone numbers (including toll-free hotline numbers where available) for local, state, or national victim advocacy or rape crisis organizations in the Inmate Brochure at intake provided in English and Spanish. Information is also provided on the PREA posters located in the housing units and throughout the facilities. Inmates have access to immigrant services agencies for persons detained solely for civil immigration purposes. Inmates detained solely for civil immigration purposes shall be provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security. Agency provided auditor with copy of the Consulate notification 1.0 Policy Statement which mandates, "Foreign nationals that have been arrested and detained at Sonoma County Adult Detention Facilities will retain the ability to contact their consulate. The Sheriff's Department may also have the obligation to contact a foreign nationals' consulate. International treaties and Penal Code Section 834c cover these obligations. These obligations include the ability for the inmate to communicate with, correspond with and be visited by, a consular official of their country.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.53(b): Agency provides inmates with PREA Brochure at intake which informs inmates, prior to giving them access to outside support services, the extent to which such communications will be monitored. The Brochure also informs inmates, prior to giving them access to outside support services, of the mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply to disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant federal, state, or local law.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility</p>

remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.53(c): Agency provided auditor with copy of MOU between Sonoma CJ and 480 Verity which provides emotional support, counseling and follow-up services for inmate sexual assault victims. MOU term is from 1/1/18 to 1/1/22 and has expired. Agency to provide auditor with updated MOU with Verity or another outside emotional support group. On 2/28/22 Agency provided auditor with updated copy of renewed Verity MOU 1/1/2021 to 1/1/2025 that provides advocacy support and timely follow-up services for Sonoma County Adult Detention facilities clients, as well as other supportive services as required. Verity also agrees to consistently provide in-person advocacy, counseling, and follow up services to clients in Sonoma County Adult Detention facilities.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.53.

115.54	Third-party reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.54(a): PREA Policy 5.4 provides method to receive third-party reports of inmate sexual abuse or sexual harassment. Third parties are encouraged to report all allegations of sexual abuse or harassment by phone (707-565-1412 or 707-565-1410) and request to speak with a correctional supervisor. Allegations can also be submitted on a citizen's complaint form. Citizen complaint forms can be obtained from the front lobby of each facility, be mailed upon request or by downloading the form from the Sheriff Office's website. Information is also available in the PREA Education Brochure in both English and Spanish, provided to each inmate upon intake and available to visitors and 3rd party individuals in the lobby and on the Agency website.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.54.</p>

115.61	Staff and agency reporting duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.61(a): PREA Policy 5.4 B mandates : All staff members, contractors and volunteers have an affirmative duty to report to a supervisor or manager all allegations, suspicions, or knowledge of sexual abuse, sexual harassment, sexual assault or any sexual misconduct involving inmates that takes place within any Sheriff’s Office facility or within any other jurisdiction or agency. Failure to report is akin to committing the act and may be punishable as such.</p> <p>C. Any staff member, volunteer or contractor who has knowledge, information or suspects retaliation against anyone who reports sexual abuse, cooperates with an investigation or that a staff member violated their responsibilities that may have contributed to an incident shall immediately notify a supervisor or manager. This notification may be made in private, but shall occur immediately upon obtaining knowledge. There is no requirement for any employee to use the chain of command.</p> <p>1. Apart from reporting sexual abuse to a supervisor or manager, any information related to sexual victimization or abusiveness that occurred in an institutional setting shall be strictly limited to medical and mental health practitioners and other staff, as necessary, to inform treatment plans and security and management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law. Inmates may privately (if they so choose) report sexual harassment, abuse, or assault, retaliation for reporting, or staff indifference to any employee, volunteer or contractor.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.61(b): PREA Policy 5.4 C mandates: Any staff member, volunteer or contractor who has knowledge, information or suspects retaliation against anyone who reports sexual abuse, cooperates with an investigation or that a staff member violated their responsibilities that may have contributed to an incident shall immediately notify a supervisor or manager. This notification may be made in private, but shall occur immediately upon obtaining knowledge. There is no requirement for any employee to use the chain of command. 1. Apart from reporting sexual abuse to a supervisor or manager, any information related to sexual victimization or abusiveness that occurred in an institutional setting shall be strictly limited to medical and mental</p>

health practitioners and other staff, as necessary, to inform treatment plans and security and management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law.

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.61(c): PREA Policy 5.4 D mandates: Medical and mental health practitioners unless otherwise precluded by Federal, State, or local law shall be required to report all allegations of sexual abuse or retaliation. Medical and mental health practitioners shall obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18. All inmates must be informed of their duty to report, and the limitations of confidentiality, at the initiation of services.

Interview with both medical and mental health staff indicates that at the initiation of services, practitioners disclose the limitations of confidentiality and duty to report any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment to a designated supervisor as they are mandatory reporters. Mental health practitioner indicated that any information regarding disclosure of the limitations of confidentiality is documented in the electronic health record. Both medical mental health staff are required to report any knowledge suspicion or information regarding an incident of sexual abuse or sexual harassment to designated supervisor or officials immediately upon learning of it.

116.61(d): PREA Policy 5.4 E mandates: If the alleged victim is under the age of eighteen (18) or considered a vulnerable adult under a State or local vulnerable persons statute, the Office shall report the allegation to the designated State or local services agency under applicable mandatory reporting laws.

Interview with the Facility Commander indicates that in the event where a victim under the age of 18 years makes an allegation of sexual abuse, the Agency will follow the same policy as mandated by law to include contact with Child Protective Services. Interview with the PREA Coordinator indicates that if the victim is a vulnerable adult, investigation would continue as normal but would include contact with Adult Protective Services.

115.61 (e): PREA Policy 5.4 Reporting Investigations mandates that: Staff members, contractors and volunteers shall accept all allegations made verbally, in writing, anonymously, from third parties and promptly notify a supervisor or manager. All incidents of sexual abuse, sexual assault and sexual harassment upon inmates shall be investigated promptly, thoroughly, objectively and forwarded through the chain of

command. Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution. The departure of the alleged abuser or victim from employment, control or custody shall not provide a basis for terminating an investigation. The standard used to substantiate allegations of sexual abuse shall be no higher than a preponderance of the evidence. A patrol deputy shall be called to respond to all PREA related crimes that occur within the Detention Division. A patrol deputy shall refer all felony PREA related crime investigations to a domestic violence and sexual assault (DVSA) investigator. The DVSA investigators shall conduct investigations in compliance with all applicable PREA standards. Interview with Facility Commander indicates that all allegations of sexual abuse and sexual harassment reported directly to designated facility investigators. This includes 3rd party and anonymous reports.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.61.

115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.62(a): PREA Policy page 6, O mandates: Correctional Staff upon learning of an inmate’s substantial risk of imminent sexual abuse will take immediate steps to protect the inmate. Agency reports in the past 12 months, there have been no instances where the agency or facility determined that an inmate was subject to a substantial risk of imminent sexual abuse. The Agency immediately takes action once the Agency or facility determines that an inmate was subject to a substantial risk of imminent sexual abuse.</p> <p>Interview with the Agency Head designee and Facility Commander indicates that when it is learned that an inmate is subject to imminent sexual abuse, staff takes immediate protective measures by ensuring the inmate is free from additional contact with abuser, provide inmate with an advocate through Verity crisis hot line, remove the inmate from the situation, contact classification and supervisor to rehouse inmate to include medical and mental health.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.62.</p>

115.63	Reporting to other confinement facilities
	<p data-bbox="256 188 986 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 558 300">Auditor Discussion</p> <p data-bbox="256 340 1481 667">115.63(a): PREA Policy pg 12, Q mandates that: Inmates can report sexual abuse they experienced while confined at another facility. Upon report of an allegation of an inmate being sexually abused while confined at another facility, the Assistant Sheriff or their designee shall notify in writing the head of the facility or appropriate office of the agency where the alleged abuse occurred. Such notifications shall be provided and documented as soon as possible, but no later than 72 hours after receiving the allegation. In the past 12 months, no allegations were received by the facility to indicate that an inmate was abused while confined at another facility.</p> <p data-bbox="256 707 1481 1075">115.63 (b): PREA Policy pg 12, R mandates that "When informed by another agency that an inmate in our custody was sexually abused while incarcerated, the allegation shall be thoroughly investigated and if necessary, forwarded for criminal prosecution. Inmates can report sexual abuse they experienced while confined at another facility. Upon report of an allegation of an inmate being sexually abused while confined at another facility, the Assistant Sheriff or their designee shall notify in writing the head of the facility or appropriate office of the agency where the alleged abuse occurred. Such notifications shall be provided and documented as soon as possible, but no later than 72 hours after receiving the allegation."</p> <p data-bbox="256 1115 1481 1563">115.63(c): PREA Policy pg 12, R mandates that "When informed by another agency that an inmate in our custody was sexually abused while incarcerated, the allegation shall be thoroughly investigated and if necessary, forwarded for criminal prosecution. Inmates can report sexual abuse they experienced while confined at another facility. Upon report of an allegation of an inmate being sexually abused while confined at another facility, the Assistant Sheriff or their designee shall notify in writing the head of the facility or appropriate office of the agency where the alleged abuse occurred. Such notifications shall be provided and documented as soon as possible, but no later than 72 hours after receiving the allegation." In the past 12 months, no allegation the was received by the facility that an inmate was abused while confined at another facility.</p> <p data-bbox="256 1603 1481 1971">115.63d): PREA Policy page 12, R mandates that: When informed by another agency that an inmate in our custody was sexually abused while incarcerated, the allegation shall be thoroughly investigated and if necessary, forwarded for criminal prosecution. Agency reports that in the past 12 months, there have been no reported allegations of sexual abuse the facility received from other facilities. Interview with Agency Head designee and Facility Commander indicates that once the facility receives an allegation from another facility or agency that an incident of sexual abuse or sexual harassment occurred in this facility, we follow our investigation procedures, document and follow the PREA policy.</p> <p data-bbox="256 2011 478 2047">CONCLUSION:</p>

	Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.63.
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115.64	Staff first responder duties
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1477 748">115.64(a): PREA Policy 5.3 the first responder protocol for both Sonoma County Main Jail and Sonoma County North County Jail. The policy requires that, upon learning of an allegation that an inmate was sexually abused, the first security staff member to respond to the report to separate the alleged victim and abuser. If the abuse occurred within a time period that still allows for the collection of physical evidence, the first security staff member to respond to the report ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. Agency reports that in the past 12 months, there were no allegations that an inmate was sexually abused.</p> <p data-bbox="256 761 1477 1209">NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p data-bbox="256 1245 1477 1491">115.64(b): PREA Policy page 2, B mandates that: requires that if the first staff responder is not a security staff member, that responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence. Page 7, B mandates that if the first staff responder is not a security staff member, that responder shall be required to notify security staff. In the past 12 months there are no instances where a non-security staff member was the first responder.</p> <p data-bbox="256 1505 1477 1953">NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p data-bbox="256 1989 478 2024">CONCLUSION:</p> <p data-bbox="256 2069 1356 2105">Based upon the final analysis of evidence, the auditor finds the facility is fully</p>

	compliant with Standard 115.64.
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115.65	Coordinated response
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.65(a): The Coordinated Response Plan is incorporated within the PREA Policy as Section 5.3 Response to a PREA Incident which is separately identified for the Main Jail and North County Jail. The Coordinated Response Plan narrative for each facility coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership.</p> <p>Interview with the Facility Commander indicates that he has knowledge of the Coordinated Response Plan for both Main and North County Detention Centers as the Plan is outlined in the PREA Policy.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.65.</p>

<p>115.66</p>	<p>Preservation of ability to protect inmates from contact with abusers</p> <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>115.66(a): Agency reports that the agency, facility, or any other governmental entity responsible for collective bargaining on the agency's behalf has entered into or renewed any collective bargaining agreement or other agreement since August 20, 2012, or since the last PREA audit, whichever is later. This information is verified via the Agency website: https://www.sonomasheriff.org/prea. Interview with Agency Head designee indicates that collective bargaining agreements permits the agency to remove alleged staff sexual abuses from contact with any inmate pending an investigation or a determination of whether and to what extent discipline is warranted. Agency has not verified Compliance with Standard provision 115.66(a) through narrative in the Sonoma County Sheriff's website. Agency to provide auditor with copy of the collective bargaining agreements for custody line staff and management staff members in order for auditor to verify compliance with Standard provision 115.66(a).</p> <p>115.66(b): Auditor is not required to audit this provision.</p> <p>Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.66(a) and corrective action is required.</p> <p><u>Corrective Action Recommended:</u></p> <p>115.66(a): Agency has not verified Compliance with Standard provision 115.66(a) through narrative in the Sonoma County Sheriff's website.</p> <ol style="list-style-type: none"> 1. Agency to provide auditor with copy of the collective bargaining agreements for custody line staff and management staff members in order for auditor to verify compliance with Standard provision 115.66(a). <p><u>Corrective Action Completion 6/22/22:</u></p> <p>115.66(a): On 6/22/22 - Agency provided auditor with copy of the Collective Bargaining Agreement Agency reports that the agency, facility, or any other governmental entity responsible for collective bargaining on the agency's behalf has entered into a collective bargaining agreement with SCLEA. MOU ratification occurred in 2019. Current SCLEA MOU is valid from 2019 - 2023. This information is verified via the Agency website: https://www.sonomasheriff.org/prea.</p> <p>The agency/facility has met the requirements of Standard provision(s) 115.66(a)</p>
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	<p>completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.66.</p>
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115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.67(a): PREA Policy 5.8 Protection Against Retaliation mandates that: "The Sheriff's Office shall take immediate appropriate measures to protect anyone who expresses a fear of retaliation because they reported or cooperated with an investigation of sexual abuse. Multiple protection measures shall be employed against any forms of retaliation, such as housing transfers or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations." PREA Policy 5.8 Protection Against Retaliation mandates that: The PREA Coordinator or their designee is designated with monitoring for possible retaliation. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.67(b): PREA Policy 5.8 Protection Against Retaliation mandates that: Multiple protection measures shall be employed against any forms of retaliation, such as housing transfers or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations. Interview with Agency Head designee indicates that Agency mandates 90-day monitoring for inmates and staff who are being retaliated against following a PREA incident. The PREA Coordinator and management staff conducts the retaliation monitoring. Interview with Facility Commander indicates that the PREA Coordinator conducts 90-day Retaliation Monitoring. Monitoring can be extended should there be a reported need to do so. Interview with designated staff member charged with monitoring retaliation (Classification Deputy) indicates that in order to prevent retaliation, an inmate being retaliated against or perpetrator is rehoused, victim is referred to mental health or Verity for emotional support, 90-day monitoring is conducted with face-to-face meetings every other week or twice monthly. Monitoring can be extended past 90-day period should there be a reported need. Per separate letters to the Sheriff and California Board of State and Community</p>

Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.67(c): PREA Policy 5.8 mandates that: For at least 90 days following a report of sexual abuse, the PREA Coordinator shall monitor the conduct and treatment of inmates, or staff who reported the sexual abuse, and of inmates who were reported to have suffered sexual abuse to determine if there are indicators to suggest possible retaliation intentions by inmates or staff, and shall act promptly to remedy any such retaliation. The PREA Coordinator or his designee shall continue such monitoring beyond 90 days if initial monitoring indicates a continuing need. Agency reports that there has been no incident of retaliation occurring in the past 12 months.

Interview with Facility Commander indicates that the PREA Coordinator conducts 90-day Retaliation Monitoring. Monitoring can be extended should there be a reported need to do so.

Interview with designated staff member charged with monitoring retaliation (Classification Deputy) indicates that in order to prevent retaliation, an inmate being retaliated against or perpetrator is rehoused, victim is referred to mental health or Verity for emotional support, 90-day monitoring is conducted with face-to-face meetings every other week or twice monthly. Monitoring can be extended past 90-day period should there be a reported need.

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.67(d): PREA Policy 5.8 mandates that: The PREA Coordinator shall coordinate with classification staff to ensure inmates who report sexual abuse are monitored by periodic status checks. The frequency and duration of the status checks shall be determined on a case by case basis.

Interview with designated staff member charged with monitoring retaliation (Classification Deputy) indicates that in order to prevent retaliation, an inmate being retaliated against or perpetrator is rehoused, victim is referred to mental health or

Verity for emotional support, 90-day monitoring is conducted with face-to-face meetings every other week or twice monthly. Monitoring can be extended past 90-day period should there be a reported need."

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.67(e): PREA Policy 5.8 mandates that: Multiple protection measures shall be employed against any forms of retaliation, such as housing transfers or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations.

Interview with Agency Head Designee indicates that measures taken to protect that individual against retaliation is to identify who the claim is against. If an inmate is the accused, they are transferred to another facility or placed on keep-away status. If it involves correctional staff, we ensure the complainant is protected and staff moved pending investigation and inmate is protected against further retaliation.

Interview with Facility Commander indicates that for inmate vs staff, DVSA Sergeant gets involved to conduct an investigation. Staff member is removed pending investigation. Inmate on inmate case the accuser is transferred to another facility.

115.67(f): PREA Policy 5.8 mandates that: Monitoring shall terminate if it is determined an allegation is unfounded. Auditor is not required to audit this provision.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.67.

115.68	Post-allegation protective custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.68(a). Classification - PREA Policy 5.2 mandates that: A. Inmates at a high risk for sexual victimization or who allege to have suffered sexual abuse shall not be placed in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made by classification that there are no available alternative means of separation from likely abusers. If such an assessment cannot be conducted immediately, the inmate may be placed in involuntary segregated housing for a period of time, not to exceed 24 hours, while classification completes an assessment.</p> <p>Agency reports that there have been no inmates who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment. Agency also reports that there have been no inmates who allege to have suffered sexual abuse who were assigned to involuntary segregated housing in the past 12 months for longer than 30 days while awaiting alternative placement. D. If an involuntary segregated housing assignment is made, classification deputies shall clearly document:</p> <ol style="list-style-type: none"> (1) The basis for the facility's concern for the inmate's safety; and (2) The reason why no alternative means of separation can be arranged. (3) Such documentation will be forwarded to the classification Lieutenant and the PREA Compliance Manager. <p>Every 30 days classification shall schedule a review of any inmate that has been placed in segregated housing to determine whether or not there is a continuing need for separation from the general population. If continued separation is deemed necessary, classification deputies will notify the classification sergeant of an inmate's continued need for separation.</p> <p>Interview with Facility Commander indicates that inmates are not placed in involuntary segregation pending a sex abuse investigation. They use a classification pan for the safety, mental health and physical condition of the inmate. If inmates at high risk were to be placed in involuntary segregation, Classification would conduct 30-day reviews at least to determine if continued maintenance in segregated housing was warranted. Agency reports that there have been no inmates housed in segregated housing for reporting sexual abuse or pending sexual abuse investigation.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County</p>

Detention Facility to house inmates.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.68.

115.71	Criminal and administrative agency investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.71(a): Sexual Assault Investigations Policy 601 mandates that: "It is the policy of the Sonoma County Sheriff's Office that its members, when responding to reports of sexual assaults, will strive to minimize the trauma experienced by the victims, and will aggressively investigate sexual assaults, pursue expeditious apprehension and conviction of perpetrators, and protect the safety of the victims and the community. Interview with investigative staff indicates that timely initiation of an investigation following an allegation of sexual abuse or sexual harassment depends on the allegation, but almost immediate. Jail contacts Patrol as the initial response, patrol reviews the initial case for probable cause and to determine if crime has occurred. If so, it is forwarded to Domestic Violence and Sexual Abuse Office (DVSA) for investigation. Anonymous or 3rd party reports are investigated in the same manner.</p> <p>115.71(b): Policy 601 Sexual Assault Investigations 601.3 mandates that: "Qualified Investigators have specialized training in, and be familiar with, interview techniques and the medical and legal issues that are specific to sexual assault investigations." Interview with investigative staff indicates that the investigators received training specific to conducting sexual abuse in confinement settings. The training is described as a week long specific investigation for sexual abuse cases. Training may have been an NIC or POST course of IGI-2. Topics include techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, Sexual abuse evidence collection in confinement settings and criteria and evidence required to substantiate a case for administrative or prosecution referral. Agency has provided auditor with electronic training verification that 2 investigators have completed the training required by PREA to Investigate Sexual Abuse in a Confinement Setting Auditor awaits electronic verification or certificates and/or signed PREA attestations which documents and verifies that the remaining 4 investigators have completed and understands the required training to conduct Sex Abuse Investigations in a Confinement Setting.</p> <p>115.71(c): Policy 601 Sexual Assault Investigations 601.9.1 mandates that: "Members investigating a sexual assault offense should take every reasonable step to ensure that DNA testing of such evidence is performed in a timely manner and within the time periods prescribed by Penal Code § 803(g). Generally, rape kits should be submitted to the crime lab within 20 days after being booked into evidence (Penal Code § 680)." "In cases where the perpetrator is not known to the victim, and especially if there are multiple crimes where more than one appear to be related, consideration should be given to releasing information to the public whenever there is a reasonable likelihood that doing so may result in developing helpful investigative leads. The Investigative Bureau supervisor should weigh the risk of alerting the suspect to the investigation with the need to protect the victim and the public, and to prevent more crimes." Interview with investigative staff indicates that the first steps in initiating a criminal</p>

investigation is that DVSA investigates, collects evidence, conducts interviews, takes victim for forensic exam and obtain advocacy. In initiating an IA investigation, it depends on if it is a criminal allegation. If so, the IA investigation is tolled until the criminal investigation is completed. Their focus is violation of policy and training. DVSA secures the DNA, clothing, video of jail and incident, interviews and recordings, photos and electronic evidence. IA focus is same as criminal, as it uses criminal evidence, reports and findings but includes followup questions that pertains to IA investigation and any other critical piece of information to add to the investigation and focus to include any efforts to determine whether staff actions or failures to act contributed to the abuse.

115.71(d): PREA Policy 5.4 F mandates that: When the quality of evidence appears to support criminal prosecution, the agency shall conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution.

Interview with investigative staff indicates that Internal Affairs investigators consults with prosecutors prior to conducting compelled interviews. Criminal Investigators do not conduct compelled interviews in their investigations.

115.71(e): Per Sexual-Assault - Investigations policy 601.10 DISPOSITION OF CASES mandates that: If the assigned investigator has reason to believe the case is without merit, the case may be classified as unfounded only upon review and approval of the Investigative Bureau supervisor. Classification of a sexual assault case as unfounded requires the Investigative Bureau supervisor to determine that the facts have significant irregularities with reported information and that the incident could not have happened as it was reported. When a victim has recanted his/her original statement, there must be corroborating evidence that the allegations were false or baseless (i.e., no crime occurred) before the case should be determined as unfounded. PREA Policy 5.4 Reporting and Investigations 2 mandates that: The credibility of an alleged victim, suspect or witness shall be assessed on an individual basis and shall not be determined by the person's status as an inmate or staff. Agency reports that no inmate has reported sexual abuse at NCDF.

Interview with investigative staff indicates that credibility is based upon prior history, corroboration of statements, type of allegation, deemed credible until found not credible Interview with inmates who reported sexual abuse indicates that they were not subject to polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation.

115.71(f): PREA Policy 4.0 General Information I 2 mandates that: Sexual abuse of an inmate or detainee, by a staff member, contractor, or volunteer includes, but not limited to, any of the following acts, with or without consent of the inmate or detainee: a. Contact between the penis and the vulva or the penis and the anus, including penetration, however slight. b. Contact between the mouth and the penis, vulva, or anus. c. Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire. d. Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify

sexual desire. e. Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire. J. Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in section (a.) through (e.) of this section is sexual abuse. PREA Policy 5.4 Reporting & Investigations H mandates that: Upon completion of an investigation involving an inmate's allegation they were sexually abused in a Sheriff's Office facility, the inmate shall be informed as to the final determination of the investigation (Sustained, Not Sustained, Inconclusive or Unfounded). If an outside agency conducts the investigation, the Sheriff's Office shall request the relevant information from the investigative agency in order to inform the inmate. 1. All investigations shall include efforts to determine whether staff actions or failures to act contributed to the abuse. Interview with investigative staff indicates that the efforts taken to determine whether staff actions or failures to act contributed to the sexual abuse includes working the case backwards, viewing the scene, locate additional witnesses that were on scene when the incident occurred but failed to report. Investigators document the case in written reports which include interviews, surveillance, findings on criminal cases, statements, medical records, training records, applicable logs, etc.

115.71(g): PREA Policy 5.4 Reporting & Investigations mandates that: The Sheriff's Office shall maintain all written reports, investigations to include internal affair investigations for all sexual abuse investigations for as long as the alleged abuser is incarcerated or employed by the Sheriff's Office, plus five years. Interview with investigative staff verifies investigators document all investigative records. Staff statements indicates that investigations are documented. Information contained in the reports are interviews, collected evidence, DNA- forensic evidence (if required), statements, interviews, witness/suspect statements.

115.71(h): PREA Policy 5.4 Reporting & Investigations F a mandates that: A patrol deputy shall be called to respond to all PREA related crimes that occur within the Detention Division. A patrol deputy shall refer all felony PREA related crime investigations to a domestic violence and sexual assault (DVSA) investigator. The DVSA investigators shall conduct investigations in compliance with all applicable PREA standards. a. When the quality of evidence appears to support criminal prosecution, the agency shall conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution. Agency reports that no substantiated allegations of conduct that appear to be criminal that were referred for prosecution since August 20, 2012, or since the last PREA audit, whichever is later.

115.71(i): PREA Policy 5.4 Reporting & Investigations mandates that: The Sheriff's Office shall maintain all written reports, investigations to include internal affair investigations for all sexual abuse investigations for as long as the alleged abuser is incarcerated or employed by the Sheriff's Office, plus five years.

115.71(j): PREA Policy 5.4 Reporting & Investigations O & P mandates that: Following an inmate's substantiated allegation that a staff member committed an sexual

assault against the inmate, unless the allegation is determined to be unfounded, the Sheriff's Office shall inform the inmate by memorandum whenever: 1. The staff member is no longer assigned to the inmate's housing area.

2. The staff member is no longer employed or assigned to the facility.

3. The staff member has been indicted or criminally charged related to abuse within the facility.

4. The Sheriff's Office learns that a staff member has been convicted on a charge related to sexual abuse within the facility.

5. The Sheriff Office's obligation to report under this standard shall terminate if the inmate is released from custody.

P. Following an allegation by an inmate that he was abused by another inmate, the victim shall be informed by memorandum whenever:

1. The alleged abuser has been indicted or criminally charged on charges related to sexual abuse within the facility; or

2. The alleged abuser has been convicted on a charge related to abuse within the facility.

3. The Sheriff Office's obligation to report under this standard shall terminate if the victim is released from custody.

Interview with investigative staff indicates that the departure of the alleged abuser or victim from the employment or control of the facility or agency shall not provide a basis for terminating an investigation.

115.71(k): Auditor is not required to audit this provision.

115.71(l): N/A - Agency investigates sexual abuse in it's facilities.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.71(b) and corrective action is required.

Corrective Action Recommended:

115.71(b): Agency has provided auditor with electronic training verification that 2 investigators have completed the training required by PREA to Investigate Sexual Abuse in a Confinement Setting.

1. Auditor awaits electronic verification or certificates and/or signed PREA attestations which documents and verifies that the remaining 4 investigators have completed and understands the required training to conduct Sex Abuse Investigations in a Confinement Setting.

Corrective Action Completion 8/25/22:

115.71(b): Agency provided auditor with the 4 remaining PREA attestations which documents and verifies that the remaining 4 investigators have completed and understands the required training to conduct Sex Abuse Investigations in a

Confinement Setting.

The agency/facility has met the requirements of Standard provision(s) 115.71(b) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.71.

115.72	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.72(a): PREA Policy 5.4 Reporting & Investigation A mandates that: Staff members, contractors and volunteers shall accept all allegations made verbally, in writing, anonymously, from third parties and promptly notify a supervisor or manager. All incidents of sexual abuse, sexual assault and sexual harassment upon inmates shall be investigated promptly, thoroughly, objectively and forwarded through the chain of command. Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution. The departure of the alleged abuser or victim from employment, control or custody shall not provide a basis for terminating an investigation. The standard used to substantiate allegations of sexual abuse shall be no higher than a preponderance of the evidence.</p> <p>Interview with Investigative staff indicates the Standard used to substantiate allegations of sexual abuse shall be no higher that preponderance of the evidence.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.72.</p>

115.73	Reporting to inmates
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1477 833">115.73(a): PREA Policy 5.4 Reporting & Investigations Page 10 N mandates that: Upon completion of an investigation involving an inmate’s allegation they were sexually abused in a Sheriff’s Office facility, the inmate shall be informed as to the final determination of the investigation (Sustained, Not Sustained, Inconclusive or Unfounded). If an outside agency conducts the investigation, the Sheriff’s Office shall request the relevant information from the investigative agency in order to inform the inmate. Agency reports that no criminal and/or administrative investigation of alleged inmate sexual abuse that were completed by the agency/facility in the past 12 months. Auditor review of criminal and administrative investigation of alleged inmate sexual abuse found that 17 cases were reviewed – 16 Sexual Harassment and 1 Sexual Abuse allegation which was determined to be unfounded. All 17 cases occurred and were investigated at the Sonoma County Main Jail.</p> <p data-bbox="256 842 1477 1294">NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p data-bbox="256 1335 1430 1406">115.73(b): N/A - Agency reports they are responsible to conduct their own criminal and administrative investigations.</p> <p data-bbox="256 1447 1445 1939">115.73(c): PREA Policy 5.4 Reporting & Investigations Page 12 O mandates that: Following an inmate’s substantiated allegation that a staff member committed an sexual assault against the inmate, unless the allegation is determined to be unfounded, the Sheriff’s Office shall inform the inmate by memorandum whenever:</p> <ol data-bbox="256 1617 1445 1939" style="list-style-type: none"> 1. The staff member is no longer assigned to the inmate’s housing area. 2. The staff member is no longer employed or assigned to the facility. 3. The staff member has been indicted or criminally charged related to abuse within the facility. 4. The Sheriff’s Office learns that a staff member has been convicted on a charge related to sexual abuse within the facility. 5. The Sheriff Office's obligation to report under this standard shall terminate if the inmate is released from custody. <p data-bbox="256 1948 1477 2065">Agency reports there has been 0 substantiated or unsubstantiated complaint (i.e., not unfounded) of sexual abuse committed by a staff member against an inmate in an agency facility in the past 12 months.</p>

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.73(d): PREA Policy 5.4 Reporting & Investigations Page 12 P mandates that: Following an allegation by an inmate that he was abused by another inmate, the victim shall be informed by memorandum whenever:

1. The alleged abuser has been indicted or criminally charged on charges related to sexual abuse within the facility; or
2. The alleged abuser has been convicted on a charge related to abuse within the facility.
3. The Sheriff Office's obligation to report under this standard shall terminate if the victim is released from custody.

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.73(e): PREA Policy 5.4 Reporting & Investigations Page 12 O & P mandates that: Following an inmate's substantiated allegation that a staff member committed a sexual assault against the inmate, unless the allegation is determined to be unfounded, the Sheriff's Office shall inform the inmate by memorandum whenever:

1. The staff member is no longer assigned to the inmate's housing area.
2. The staff member is no longer employed or assigned to the facility.
3. The staff member has been indicted or criminally charged related to abuse within the facility.
4. The Sheriff's Office learns that a staff member has been convicted on a charge related to sexual abuse within the facility.
5. The Sheriff Office's obligation to report under this standard shall terminate if the inmate is released from custody.

P. Following an allegation by an inmate that he was abused by another inmate, the victim shall be informed by memorandum whenever:

1. The alleged abuser has been indicted or criminally charged on charges related to sexual abuse within the facility; or
2. The alleged abuser has been convicted on a charge related to abuse within the facility.
3. The Sheriff Office's obligation to report under this standard shall terminate if the victim is released from custody.

Agency reports that in the past 12 months, no notifications to inmates that were provided pursuant to this standard as none of the alleged abusers has been indicted on a charge related to sexual abuse within the facility, nor has alleged abuser has been convicted on a charge related to sexual abuse within the facility.

115.73(f): Auditor is not required to audit this provision.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.73.

115.76	Disciplinary sanctions for staff
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1453 539"> 115.76(a): PREA Policy 5.9 Disciplinary Sanctions for Staff mandates that: A. Employees shall be subject to disciplinary actions up to and including termination for any violations of sexual abuse or harassment policies. B. Termination shall be the presumptive disciplinary action for employees who have engaged in sexual abuse. </p> <p data-bbox="256 580 1442 736"> 115.76(b): Agency reports that In the past 12 months, no staff from the facility who have violated agency sexual abuse or sexual harassment policies. In the past 12 months, no staff from the facility who have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies. </p> <p data-bbox="256 777 1481 1184"> 115.76(c): PREA Policy 5.9 C Disciplinary Sanctions for Staff mandates that: Disciplinary action for violations of Sheriff’s Office and County of Sonoma policies, and rules relating to sexual abuse or sexual harassment shall be commensurate with the nature and circumstances of the acts committed, the employee’s disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories. In the past 12 months, no staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse). Agency reports there have been no disciplinary sanctions taken against staff for violations of the agency sexual abuse or sexual harassment policies in the past 12 months. Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates. </p> <p data-bbox="256 1686 1481 2054"> 115.76(d): PREA Policy 5.9 D Disciplinary Sanctions for Staff mandates that: All terminations for violations of sexual abuse or sexual harassment policies, or resignations by employees who would have been otherwise terminated, if not for their resignation, shall be reported to law enforcement agencies for any offenses outside the jurisdiction of the Sheriff’s Office, unless the activity was clearly not criminal, and to any relevant licensing bodies. In the past 12 months, no staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies. </p>

Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.76.

115.77	Corrective action for contractors and volunteers
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>115.77(a): PREA Policy page 5 N mandates that: Any contractor or volunteer who engages in sexual abuse shall be prohibited from contact with inmates, and if applicable, reported to relevant licensing bodies. In the past 12 months, no contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates. In the past 12 months, no contractors or volunteers reported to law enforcement for engaging in sexual abuse of inmates.</p> <p>Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.77(b): PREA Policy page 6 I mandates that: The Sheriff's Office shall take appropriate remedial measures, and shall consider whether to prohibit further contact with inmates, in the case of any other violation of sexual abuse or sexual harassment policies by a contractor or volunteer.</p> <p>Interview with Facility Commander indicates that in the event that a contractor or volunteer violation agency sexual abuse or sexual harassment policies, Agency removes the gate clearance. If the case involves a criminal act we take appropriate action.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.77.</p>

115.78	Disciplinary sanctions for inmates
	<p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1481 748">115.78(a): Discipline-Disciplinary Hearings & Appeals Policy 5.2 Imposing Punitive Action mandates that: At the conclusion of a hearing where an inmate has been found guilty, the inmate will be notified verbally and in writing by the DRB of the recommended punitive action, the evidence relied upon and the reasons for the disciplinary action. All punitive action shall be commensurate with the nature and circumstances of the offense, the inmate’s disciplinary history, and the discipline imposed for comparable offenses by other inmates with similar histories. In the past 12 months, no administrative findings of inmate-on-inmate sexual abuse that have occurred at the facility. In the past 12 months, no criminal findings of guilt for inmate-on-inmate sexual abuse that have occurred at the facility.</p> <p data-bbox="256 788 1481 1196">115.78(b): Discipline-Disciplinary Hearing and Appeal Policy 5.2 A Imposing Punitive Action mandates that: At the conclusion of a hearing where an inmate has been found guilty, the inmate will be notified verbally and in writing by the DRB of the recommended punitive action, the evidence relied upon and the reasons for the disciplinary action. All punitive action shall be commensurate with the nature and circumstances of the offense, the inmate’s disciplinary history, and the discipline imposed for comparable offenses by other inmates with similar histories. Interview with Facility Commander indicates that administrative sanctions take disciplinary action through the disciplinary review hearing. Criminal cases are submitted to the DA for prosecution.</p> <p data-bbox="256 1236 1481 1563">115.78(c): Discipline-Disciplinary Hearing and Appeal Policy 5.2 A Imposing Punitive Action mandates that: The Disciplinary Review Board (DRB) will take into consideration when recommending punitive action, the inmate’s mental disabilities or mental illness that may have contributed to his behavior when determining punitive action. Interview with Facility Commander indicates that the Sexual Abuse Incident Review Team includes at a minimum the Asst. Sheriff, Administrative Lieutenant, Operations Captain, Classification and PREA Coordinator.</p> <p data-bbox="256 1603 1481 2056">115.78(d): Discipline-Disciplinary Hearing and Appeals Policy 5.2 mandates that: The DRB may with the consent of the Facility Manager require inmates found guilty of a PREA related offense to participate in therapy, counseling or other interventions designed to address and correct underlying reasons or motivations for the offense. An inmate may be denied access to programming or other benefits if he refuses to participate in such interventions. Interview with the medical and mental health staff indicates that brief in-service therapy services are provided through Verity. Verity also provides out of custody therapy. Mental health indicates the mental health protocol is followed. When services are provided inmate is not required to participate as a condition of access to programming.</p>

115.78(e): Discipline-Disciplinary Hearing and Appeals Policy 4.1, T mandates that: Punitive action can only be taken against an inmate for having sexual contact with a staff member upon a finding that the staff member did not consent to such contact.

115.78(f): Discipline-Disciplinary Hearing and Appeals Policy page 4 , S mandates that: An inmate who makes a report of sexual abuse in good faith, based on a reasonable belief that the alleged conduct occurred, shall not be found guilty of falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

115.78(g): Agency prohibits all sexual activity between inmates and is sanctioned as a Major Rule violation #206 in Agency's 646 Inmate Rules of Conduct. PREA Reporting Definitions determines sexual activity that is coerced between inmate is considered a non-consensual sex act.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.78.

115.81	Medical and mental health screenings; history of sexual abuse
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.81(a): N/A - Facility is not a prison</p> <p>115.81(b): N/A - Facility is not a prison</p> <p>115.81(c): Classification-PREA Policy 1.0 mandates that: All inmates shall be assessed by a classification deputy for their risk of being sexually abused by other inmates or sexually abusive toward other inmates. This assessment shall ordinarily take place within 72 hours of arrival at a facility. 5.0 mandates that: H. If the Classification assessment pursuant to this policy indicates that an inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, Classification staff shall notify Medical and Mental Health staff to ensure the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening. Agency reports that in the past 12 months, no inmates disclosed prior victimization during screening who were offered a follow-up meeting with a medical or mental health practitioner. Agency reports Medical and mental health staff maintain secondary materials (e.g., form, log) documenting compliance with the above required services. Medical and mental health records are electronic and available onsite to auditor. Agency provided MOU with Verity 1/1/2021 to 1/1/2025. Verity agrees to provide advocacy support and timely follow up services for clients seen at the Sonoma County Sheriff's Office and for clients in Sonoma County adult detention facilities, as well as other supportive services as required. Verity also agrees to consistently provide in-person advocacy, counseling, and follow up services to clients in Sonoma County adult detention facilities.</p> <p>115.81(d): Agency reports that Information related to sexual victimization or abusiveness that occurred in an institutional setting is strictly limited to medical and mental health practitioners. Wellpath Policy HCD F-06 6.5 mandates that: "All information related to sexual victimization or abusiveness that occurred in the institutional setting will be strictly limited to health care staff and other staff to inform treatment plans and security/management decisions, as required by federal, state, and local law."</p> <p>115.81(e): Wellpath Policy HCD F-06 6.5 mandates that: Consent of the patient, 18 years of age or older, is required before reporting an incident of sexual abuse that occurred prior to incarceration, except when the incident occurred in another correctional institution or in the event that the patient is under 18 years of age, as permitted by law.</p> <p>Interview with Medical and Mental health staff indicated that the medical practitioner informed the auditor that there is an informed consent protocol, form that require signature and is in policy. Mental Health practitioner indicated that she was not sure if that was the case but probably so.</p> <p>CONCLUSION:</p>

	Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.81.
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115.82	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.82(a): PREA Policy 5.5 Access to Emergency Medical and Mental Health Care Services mandates that: Inmate victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment. Inmate victims of sexual abuse while incarcerated shall be offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. Treatment services shall be provided to the victim without financial cost, regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.</p> <p>Interview with medical and mental health staff indicates that inmates receive timely and unimpeded access to emergency medical treatment and crisis intervention services. These services occur immediately or within 72 hours of sick-call. Both medical and mental health practitioners indicated that the nature and scope of the services they provide are determined according to their professional judgement.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.82(b): PREA Policy 5.3 Response to a PREA Incident E mandates that: Correctional Staff shall request mental health and/or medical staff to respond to all PREA related crime/incidents. a. On site medical staff shall be trained and will advise any alleged victim whose sexual abuse occurred within a time period that may still allow for the collection of physical evidence, not to take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. b. Forensic medical evaluations will not be conducted at the detention facility. Any forensic medical evaluation necessary will be conducted at an off-site medical facility by qualified medical professionals.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all</p>

inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.82(c): PREA Education Brochure in English and Spanish provides inmate rights: "Inmate victims of sexual abuse while incarcerated are offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate." PREA Policy 5.5 Access to Emergency Medical and Mental Health Services mandates that: inmate victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment. B. Inmate victims of sexual abuse while incarcerated shall be offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. Treatment services shall be provided to the victim without financial cost, regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident."

Interview with medical and mental health staff indicates that victims of sexual abuse are offered timely information about access to emergency contraception and STDs. NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.82(d): PREA Policy 5.5 Access to Emergency Medical and Mental Health Services mandates that: inmate victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment. B. Inmate victims of sexual abuse while incarcerated shall be offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. Treatment services shall be provided to the victim without financial cost, regardless of whether the victim names the abuser or cooperates with any

investigation arising out of the incident.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.82.

<p>115.83</p>	<p>Ongoing medical and mental health care for sexual abuse victims and abusers</p>
<p>Auditor Overall Determination: Meets Standard</p>	
<p>Auditor Discussion</p>	
<p>115.83(a): PREA Policy 5.6 Access to Ongoing Medical and Mental Health Services mandates that: Any inmate victimized by sexual abuse or subject to a PREA related incident shall be referred by a Correctional Deputy to medical and/or mental health practitioners for evaluation and treatment consistent with the community level of care. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. This information is also provided to inmates who are provided the PREA Education Brochure in English and Spanish during intake. This information is also provided to all inmates who have been victimized in this facility pending investigation of alleged sexual abuse to include referral to medical and mental health. Data and documentation in the investigative reports support this statement.</p> <p>115.83(b): PREA Policy 5.6 Access to Ongoing Medical and Mental Health Services mandates that: The evaluation and treatment of such victims shall include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody. Agency reports that no inmates who reported sexual abuse were housed at the Main Jail or North County Jail. Interview with medical and mental health staff indicates that evaluation and treatment of inmates who have been victimized entails taking vital signs, conduct an assessment and refer to forensics in addition. In addition a full report of treatment and exam and follow-up is created.</p> <p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p>115.83(c): PREA Policy 5.6 Access to Ongoing Medical and Mental Health Services mandates that: Any inmate victimized by sexual abuse or subject to a PREA related incident shall be referred by a Correctional Deputy to medical and/or mental health practitioners for evaluation and treatment consistent with the community level of care. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any</p>	

investigation arising out of the incident.

Interview with medical and mental health staff concur with policy and practice.

115.83(d): N/A - PREA Policy 5.6 B Access to Ongoing Medical and Mental Health Services mandates that: Inmate victims of sexually abusive vaginal penetration (while in custody) shall be offered pregnancy tests. If pregnancy results, such victims shall receive timely and comprehensive information about and timely access to all lawful pregnancy related medical services. North County Jail is an all male facility.

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.83(e): N/A - PREA Policy 5.6 B Access to Ongoing Medical and Mental Health Services mandates that: Inmate victims of sexually abusive vaginal penetration (while in custody) shall be offered pregnancy tests. If pregnancy results, such victims shall receive timely and comprehensive information about and timely access to all lawful pregnancy related medical services. Sonoma North County Jail is an all-male inmate facility.

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.83(f): PREA Policy 5.6 C Access to Ongoing Medical and Mental Health Services mandates that: Inmate victims of sexual abuse while incarcerated shall be offered tests for sexually transmitted infections as medically appropriate.

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains

accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.83(g): PREA Policy 5.6 Access to Ongoing Medical and Mental Health Services mandates that: Any inmate victimized by sexual abuse or subject to a PREA related incident shall be referred by a Correctional Deputy to medical and/or mental health practitioners for evaluation and treatment consistent with the community level of care. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. Agency continues to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.

115.83(h): N/A - Facility is a Jail, not a Prison.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.83.

115.86	Sexual abuse incident reviews
	<p data-bbox="256 188 983 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 297">Auditor Discussion</p> <p data-bbox="256 340 1474 748">115.86(a): PREA Policy 5.10 Sexual Abuse Incident Reviews A mandates that: The PREA Coordinator shall conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded. Such review shall ordinarily occur within 30 days of the conclusion of the investigation. The review team shall include the Assistant Sheriff and the PREA Manager or their designees, with input, as necessary, from line supervisors, investigators, and medical and/or mental health practitioners. In the past 12 months, there have been no criminal and/or administrative investigations of alleged sexual abuse occurred at the facility.</p> <p data-bbox="256 790 1474 1198">115.86(b): PREA Policy PREA Policy 5.10 Sexual Abuse Incident Reviews A mandates that: The PREA Coordinator shall conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded. Such review shall ordinarily occur within 30 days of the conclusion of the investigation. The review team shall include the Assistant Sheriff and the PREA Manager or their designees, with input, as necessary, from line supervisors, investigators, and medical and/or mental health practitioners. In the past 12 months, no criminal and/or administrative investigations of alleged sexual abuse occurred at the facility.</p> <p data-bbox="256 1240 1474 1731">115.86(c): PREA Policy 5.10 Sexual Abuse Incident Reviews A mandates that: The PREA Coordinator shall conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded. Such review shall ordinarily occur within 30 days of the conclusion of the investigation. The review team shall include the Assistant Sheriff and the PREA Manager or their designees, with input, as necessary, from line supervisors, investigators, and medical and/or mental health practitioners. Interview with Facility Commander indicates that the Sonoma County Main Detention Facility has a sexual abuse incident review team which includes upper level management officials and allows input from line supervisors, investigators and medical or mental health practitioners.</p> <p data-bbox="256 1774 1474 2056">115.86(d): PREA Policy 5.10 Sexual Abuse Incident Reviews B & C mandates that: The review team shall: 1. Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse. 2. Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status, gang affiliation or was motivated or otherwise caused by other group dynamics at the facility. 3. Examine the area in the</p>

facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse. 4. Assess the adequacy of staffing levels in the housing locations during different shifts. 5. Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff. C. The PREA coordinator shall prepare a report of the review's findings and any recommendations for improvement. Recommendations for improvements shall be made and any reasons for not implementing the recommendations shall be explained. The final report shall be submitted to the Assistant Sheriff and the PREA Compliance Manager. Interview with the Facility Commander, PREA Compliance Manager and a member of the Incident Review Team indicates that the information from the sexual abuse incident review allows the team to review the incident, identify corrective measures and administrative measures such as training, etc.

115.86(e): PREA Policy 5.10 Sexual Abuse Incident Reviews C mandates that: The PREA coordinator shall prepare a report of the review's findings and any recommendations for improvement. Recommendations for improvements shall be made and any reasons for not implementing the recommendations shall be explained. The final report shall be submitted to the Assistant Sheriff and the PREA Compliance Manager.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.86.

115.87	Data collection
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.87(a): PREA Policy 5.11 Data Collection and Annual Report mandates that: "A. The Sheriff's Office shall collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions. 1. The Sheriff's Office will obtain incident based and aggregated data from any agency contracted to provide confinement for Sonoma County inmates.</p> <p>115.87(b): PREA Policy 5.11 Data Collection and Annual Report C mandates that: The PREA Coordinator shall aggregate the incident-based sexual abuse data on an annual basis using the most recent version of the Survey of Sexual Violence form from the Department of Justice. 1. Upon request, the Sheriff's Office will provide all data from the previous calendar year to the Department of Justice no later than June 30. All documents pertaining to investigations shall be securely retained by the PREA Coordinator for no less than ten (10) years.</p> <p>115.87(c): PREA Policy 5.11 Data Collection and Annual Report mandates that: C. The PREA Coordinator shall aggregate the incident-based sexual abuse data on an annual basis using the most recent version of the Survey of Sexual Violence form from the Department of Justice. 1. Upon request, the Sheriff's Office will provide all data from the previous calendar year to the Department of Justice no later than June 30.</p> <p>115.87(d): PREA Policy 5.11 Data Collection and Annual Report mandates that: D. The information from all the incidents in the prior calendar year will be reviewed and compiled into an annual report. The report shall also include corrective actions for each facility, the Sheriff's Office as a whole, along with a comparison to the previous year's data and submitted to the Sheriff for review. Upon approval of the Sheriff, the report shall be posted on the Sheriff Office's website annually after all necessary redactions have been made pursuant to California Penal Code 293. All documents pertaining to investigations shall be securely retained by the PREA Coordinator for no less than ten (10) years.</p> <p>115.87(e): N/A Agency does not contract for the confinement of its inmates.</p> <p>115.87(f): N/A - Agency reports it has not received a request from DOJ for data from the DOJ for 2021 or 2022. Agency provided 2020 Annual Survey for auditor's review.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.87.</p>

115.88	Data review for corrective action
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.88(a): PREA Policy 5.11 Data Collection and Annual Report mandates that: The Sheriff’s Office shall collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions. 1. The Sheriff’s Office will obtain incident based and aggregated data from any agency contracted to provide confinement for Sonoma County inmates. B. All managers and supervisors shall forward all PREA data to the PREA Coordinator, while maintaining a copy for their files. This data shall be securely maintained. C. The PREA Coordinator shall aggregate the incident-based sexual abuse data on an annual basis using the most recent version of the Survey of Sexual Violence form from the Department of Justice. 1. Upon request, the Sheriff’s Office will provide all data from the previous calendar year to the Department of Justice no later than June 30. D. The information from all the incidents in the prior calendar year will be reviewed and compiled into an annual report. The report shall also include corrective actions for each facility, the Sheriff’s Office as a whole, along with a comparison to the previous year’s data and submitted to the Sheriff for review. Upon approval of the Sheriff, the report shall be posted on the Sheriff Office’s website annually after all necessary redactions have been made pursuant to California Penal Code 293. E. All documents pertaining to investigations shall be securely retained by the PREA Coordinator for no less than ten (10) years.</p> <p>Interview with Agency Head designee indicates that incident-based sexual abuse data is reviewed and utilized to make changes to improve all aspects and practices within each facility.</p> <p>Interview with the PREA Coordinator indicates that the PREA Coordinator maintains all data required for the Annual Report. The agency takes corrective action on an ongoing basis based upon the data provided.</p> <p>Interview with the PREA Compliance Manager indicates that the role that the facility and facility data plays in the Annual review is Base Training on Received data. NCDF fails to be included in the 2021 Annual Report. Agency to amend the 2021 Annual Report to include findings and corrective actions for NCDF as well as the agency as a whole per Standard provision 115.88(a)-3</p> <p>115.88(b): PREA Policy 5.11 Data Collection and Annual Report mandates that: The information from all the incidents in the prior calendar year will be reviewed and compiled into an annual report. The report shall also include corrective actions for each facility, the Sheriff’s Office as a whole, along with a comparison to the previous year’s data and submitted to the Sheriff for review. PREA Policy 5.12 Audits mandates that: The PREA Coordinator shall annually review and document each facilities compliance with PREA standards, which shall also include each facilities staffing plan to ensure adequate levels of staffing and where applicable, video monitoring systems to protect inmates from sexual abuse and sexual harassment. The review shall assess, determine and document any discrepancies and where adjustments are</p>

needed to: 1. Staffing Plan. 2. Facility deployment of video monitoring systems and other monitoring technologies. 3. Resources available to commit to ensure adherence to the staffing plan.

115.88(c): PREA Policy 5.11 Data Collection and Annual Report D mandates that: The PREA Coordinator shall aggregate the incident-based sexual abuse data on an annual basis using the most recent version of the Survey of Sexual Violence form from the Department of Justice. 1. Upon request, the Sheriff's Office will provide all data from the previous calendar year to the Department of Justice no later than June 30. D. The information from all the incidents in the prior calendar year will be reviewed and compiled into an annual report. The report shall also include corrective actions for each facility, the Sheriff's Office as a whole, along with a comparison to the previous year's data and submitted to the Sheriff for review. Upon approval of the Sheriff, the report shall be posted on the Sheriff Office's website annually after all necessary redactions have been made pursuant to California Penal Code 293.

Auditor reviewed the Sheriff's website and verified Annual reports have been uploaded to the public viewing portion of the Sheriff's Office website annually from 2013 to 2020

Interview with Agency Head designee indicates that the sheriff approves the Annual report following the Undersheriff's review.

115.88(d): Review of Agency's Annual Report 2020 finds Agency redacts PII information from the report narrative and includes a statement on the final page which states: "The report has been redacted to exclude all personal identifying information."

Interview with the PREA Coordinator indicates that the types of material typically redacted from the annual report is Personal Identifying Information PII.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.88(a) and corrective action is required.

Corrective Action Recommended:

115.88(a): NCDF fails to be included in the 2021 Annual Report.

1. Agency to amend the 2021 Annual Report to include findings and corrective actions for NCDF as well as the agency as a whole per Standard provision 115.88(a)-3

Corrective Action Completion 11/2/22:

115.88(a): On 10/7/22, PREA Coordinator staff provided auditor with copy of the 2021 Sonoma CJ Annual Report which includes required information for both the Sonoma County Main Jail facility and the North County Detention Facility. The report discussed the toll-free "hot line" provided to inmates for confidentially reporting sexual assault or sexual harassment and providing the ability for inmates to seek outside advocacy and counseling through an outside agency - Verity Sonoma County Rape Crisis Center.

The report discusses PREA education for inmates when booked into the facility including notification of the Agency's zero-tolerance policy and information on how to report any incidents or suspicions of sexual abuse or sexual harassment. PREA training is completed for all detention division employees including instruction to staff on proper documentation to enable effective reviews of incidents. The report includes the transfer of both staff and inmates to the Main Adult Detention Facility due to the COVID-19 pandemic to allow the detention division the ability to social distance inmates. The Sonoma County Sheriff's Office continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The report informs readers that North County Facility has not housed inmates since 11/19/2020 and there has been no PREA incidents since 2/17/2020, an unsubstantiated PREA allegation. The report makes note of the 2022 installation of additional mirrors in the kitchen and Unit 501 to increase visibility and prevent PREA related instances. The 2021 Sonoma CJ Annual Report was uploaded to the Sonoma County Sheriff's Office website on 11/2/22. The Annual Report was reviewed and approved by the Sheriff Elect who is designated as the agency's head.

The agency/facility has met the requirements of Standard provision(s) 115.88(a) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.88.

115.89	Data storage, publication, and destruction
	<p data-bbox="256 188 983 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 297">Auditor Discussion</p> <p data-bbox="256 340 1417 456">115.89(a): PREA Policy 5.11 Data Collection and Annual Report mandates that: All documents pertaining to investigations shall be securely retained by the PREA Coordinator for no less than ten (10) years.</p> <p data-bbox="256 465 1469 667">Interview with the PREA Coordinator indicates that the Agency reviews data collected and aggregated in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies and training. The agency ensures the data collected pursuant to standard 115.87 or securely retained and takes corrective action on an ongoing basis based on this data.</p> <p data-bbox="256 703 1469 1240">115.89(b): PREA Policy 5.11 Data Collection and Annual Report D mandates that: The information from all the incidents in the prior calendar year will be reviewed and compiled into an annual report. The report shall also include corrective actions for each facility, the Sheriff’s Office as a whole, along with a comparison to the previous year’s data and submitted to the Sheriff for review. Upon approval of the Sheriff, the report shall be posted on the Sheriff Office’s website annually after all necessary redactions have been made pursuant to California Penal Code 293. The most recent Annual Report posted on the Agency website is for year 2020. Agency to create Annual Report 2021 and post it on Agency website following Sheriff's approval for public viewing. 6/22/22 - Agency provided auditor with 2022 Annual Report which is placed on the Agency website. The Report does not address aggregated sexual abuse data from facilities under its direct control as Sonoma County Jail is discussed but Sonoma North County Jail is not identified anywhere in the 2021 Annual Report.</p> <p data-bbox="256 1276 1481 1523">115.89(c): Agency provided 2022 Annual Report which is posted on the Sheriff's website. On the last page of the report, Agency has narrative which informs readers that personal identifying information has been removed. 6/22/22 - Agency provided auditor with 2021 Annual Report which is placed on the Agency website. Review of the 2021 Annual Report verifies that no Personal Identifying Information is available in the aggregated data nor in any narrative within the report.</p> <p data-bbox="256 1559 1445 1675">115.89(d): PREA Policy 5.11 Data Collection and Annual Report E mandates that: All documents pertaining to investigations shall be securely retained by the PREA Coordinator for no less than ten (10) years.</p> <p data-bbox="256 1787 1422 1904">Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.89(a), 115.89(b) and corrective action is required.</p> <p data-bbox="256 2016 791 2049"><u>Corrective Action Recommended:</u></p>

115.89(b): 6/22/22 - Agency provided auditor with 2021 PREA Annual Report which is placed on the Agency website. The Report does not address aggregated sexual abuse data from facilities under its direct control as Sonoma County Jail is discussed but Sonoma North County Jail is not identified anywhere in the 2021 PREA Annual Report.

1. Agency requested to review 2021 Annual Report and amend narrative to Identify Sonoma North County Jail and include narrative in compliance with Standard provision 115.89(b).

Corrective Action Completion 10/7/22 :

115.89(b): On 10/7/22, PREA Coordinator staff provided auditor with copy of the 2021 Sonoma CJ Annual Report which includes required information for both the Sonoma County Main Jail facility and the North County Detention Facility. The report discussed the toll-free "hot line" provided to inmates for confidentially reporting sexual assault or sexual harassment and providing the ability for inmates to seek outside advocacy and counseling through an outside agency - Verity Sonoma County Rape Crisis Center. The report discusses PREA education for inmates when booked into the facility including notification of the Agency's zero-tolerance policy and information on how to report any incidents or suspicions of sexual abuse or sexual harassment. PREA training is completed for all detention division employees including instruction to staff on proper documentation to enable effective reviews of incidents. The report includes the transfer of both staff and inmates to the Main Adult Detention Facility due to the COVID-19 pandemic to allow the detention division the ability to social distance inmates. The Sonoma County Sheriff's Office continues to review the inmate population and develop housing plans in the event that the inmate population increases and requires North County Detention Facility to house inmates. The report informs readers that North County Detention Facility has not housed inmates since 11/19/2020 and there has been no PREA incidents since 2/17/2020 which was, an unsubstantiated PREA allegation. The report makes note of the 2022 installation of additional mirrors in the kitchen and Unit 501 to increase visibility and prevent PREA related instances. The 2021 Sonoma County Jail Annual Report was uploaded to the Sonoma County Sheriff's Office website on 10/11/2022. The Annual Report section for North County Jail was reviewed and approved by the Sheriff Elect who is designated as the agency's head.

The agency/facility has met the requirements of Standard provision(s) 115.89(b), completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.89.

115.401	Frequency and scope of audits
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1465 461">115.401(a): During the prior three-year audit cycle Agency has had PREA Audits successfully completed. This current audit being conducted by auditor will have the onsite audit completed prior to the end of this 3 year audit cycle.</p> <p data-bbox="280 501 1481 658">115.401(b): Agency has two facilities and they are normally audited at the same time. Current PREA onsite audit for both facilities will be conducted between 3/28/22 to 4/1/22, well before the end of this 3 year cycle. PREA Audits were successfully conducted during the previous two 3 year cycles.</p> <p data-bbox="280 698 1469 770">115.401(h): Auditor was provided access and had the ability to observe all areas of the North County Detention Facility.</p> <p data-bbox="280 810 1469 882">115.401(i): The auditor was permitted to request and receive copies of any relevant documents (including electronically stored information).</p> <p data-bbox="280 922 1458 1124">115.401(m): No inmates were housed or custody staff assigned to North County Detention Facility. Only two staff worked in the facility to maintain the facility and conduct electronic monitoring on inmates who are monitored electronically outside of the facility. The auditor was provided confidential setting (offices) to interview the staff who conduct the electronic monitoring.</p> <p data-bbox="280 1133 1481 1581">NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p> <p data-bbox="280 1621 1449 1823">115.401(n): Inmates are permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel. Interview with mail staff indicated that Sonoma County Jail mailroom staff does not open outgoing mail. Process for outgoing mail is same as legal mail:</p> <ul data-bbox="280 1832 1458 2074" style="list-style-type: none"> -Legal mail slip is attached to letter to inmate with name, module and senders mail time and date -Deputy brings mail to inmate cell which is then signed by both -The Deputy watches the inmate open the letter, but does not touch or read the documents -Deputy is only looking for contraband

<p>NOTE: Per separate letters to the Sheriff and California Board of State and Community Corrections on March 23, 2021. The North County Detention facility temporarily closed on July 30, 2020 and re-opened September 10, 2020. NCDF was closed and has since been closed from November 19, 2020 to current. On November 19, 2020, all inmates from the North County Detention Facility were transferred to the Main Adult Detention Facility and the North County Detention Facility was temporarily closed due to the decreased inmate population. The North County Detention Facility remains accessible for civilians who are required to enroll in the Electronic Monitoring Program. We continue to review the inmate population and develop housing plans in the event that the inmate population increase and requires North County Detention Facility to house inmates.</p>

115.403	Audit contents and findings
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.403 (f): Auditor review of Agency website identified the 2020 Final PREA Audit reports initiated in 2019 posted for public review. The Sheriff's website posting also included the Sheriff's annual reports posted from 2013 to 2020

Appendix: Provision Findings		
115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	na

115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	na

115.13 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any applicable State or local laws, regulations, or standards?	yes
	In calculating adequate staffing levels and determining the need	yes

	for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	na
115.13 (c)	Supervision and monitoring	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes

115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	yes
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.)	yes
115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
115.15 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes

115.15 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with inmates with disabilities including inmates who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes

115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b)	Hiring and promotion decisions	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes

115.17 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes
115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes

115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	Upgrades to facilities and technologies	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	yes
115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes

115.21 (b) Evidence protocol and forensic medical examinations		
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c) Evidence protocol and forensic medical examinations		
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d) Evidence protocol and forensic medical examinations		
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes

115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	na
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	na
115.22 (a)	Policies to ensure referrals of allegations for investigations	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes

115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	na
115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes

115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes

115.33 (a) Inmate education		
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b) Inmate education		
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c) Inmate education		
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
115.33 (d) Inmate education		
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes

115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na

115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes

115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes

115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates?	yes

115.42 (a) Use of screening information		
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b) Use of screening information		
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c) Use of screening information		
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems?	yes

115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes
115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes

115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes

115.43 (c)	Protective Custody	
	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d)	Protective Custody	
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
115.43 (e)	Protective Custody	
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a)	Inmate reporting	
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes

115.51 (b)	Inmate reporting	
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain anonymous upon request?	yes
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	na
115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes

115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes

115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes

115.52 (f)	Exhaustion of administrative remedies	
	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes

115.53 (a)	Inmate access to outside confidential support services	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	yes
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes

115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes
115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes

115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes

115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes

115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes

115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes

115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes

115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes

115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	na
115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes
115.73 (b)	Reporting to inmates	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	na

115.73 (c)	Reporting to inmates	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d)	Reporting to inmates	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes

115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes

115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes
115.78 (g)	Disciplinary sanctions for inmates	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes

115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	na
115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	na
115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	yes
115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	yes

115.82 (a)	Access to emergency medical and mental health services	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes

115.83 (c)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes

115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	na
115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes

115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes

115.87 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	na
115.87 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
115.88 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes
115.88 (b)	Data review for corrective action	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes

115.88 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.89 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes

115.401 (b)	Frequency and scope of audits	
	Is this the first year of the current audit cycle? (Note: a “no” response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	no
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	yes
115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes

115.403 (f)	Audit contents and findings	
	<p>The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</p>	yes